

# Support measures

for business, trades and professionals, as well as municipal and private investors in Mecklenburg-Vorpommern



Ministry of Economics,
Construction and Tourism

# Support measures for the commercial sector, the manual trades and freelance professions as well as for municipal and private investors in Mecklenburg-Vorpommern

Rev. March 2016















Dear Entrepreneurs, Dear Business Founders, Dear Investors,

The economic climate in Mecklenburg-Vorpommern is positive. And further progress is being made in our State. It recorded the highest gross domestic product in its history in 2015 with 39.9 billion euros. This is the result of the efforts of every company and their employees in our State – and I want to thank you all. We are growing primarily in the manufacturing industry, the health sector and in services. But retail, manual trades and tourism are also thriving. 45,000 additional jobs have been created in

the last ten years. Reason enough to spur us on further.

We will methodically tackle the challenges which still face us in spite of all this good news. We have large numbers of companies relocating here, and what I am especially pleased about is the fact that many companies in the State are expanding due to full order books and taking on new staff. We will continue to shape the future in our State by creating networks and providing tailored support to growth-oriented companies in their plans for expansion. It is also important that we make every effort to make lasting improvements in the underlying conditions in order to create more jobs in the primary labour market.

After intensive negotiations for the current EU funding period, we have achieved a situation whereby we can continue to support companies and municipalities with attractive funding options until the end of 2020. There will be a special focus on projects in the field of research and development. We want to systematically expand company collaborations with our universities, colleges and non-university research facilities, thereby guickly bringing innovation to economic fruition.

We will continue to work to improve the underlying economic conditions for our small and medium-sized enterprises, and our commitment is to create attractive and secure jobs and training places. Above all, our employment situation needs to become less seasonal in nature.

This brochure is intended to give you a quick overview of the available Support measures. The designated contact people will be happy to offer individual consultation.

We extend a warm welcome to you in Mecklenburg-Vorpommern.

Harry Glawe Minister for Economics, Construction and Tourism in Mecklenburg-Vorpommern

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- Service portal for Mecklenburg-Vorpommern www.service.m-v.de
- ► Investor portal for Mecklenburg-Vorpommern www.investorenportal-mv.de
- ► Business founders and young companies in Mecklenburg-Vorpommern www.gruender-mv.de
- ► Federal funding database www.foerderdatenbank.de

# 1 Commercial investments / business founders / networks

# 1.1 Common task: "Improving the regional structure for business" (GRW): projects in the commercial sector and commercial tourism

#### What is funding available for?

Investment projects in the commercial sector (including tourism) which create or secure jobs or training places in Mecklenburg-Vorpommern on a lasting basis.

#### The following funding is available for SMEs1:

- setting up a new facility;
- expanding an existing facility;
- diversifying production into products not previously manufactured there;
- ▶ fundamental change to entire production system or
- ▶ acquiring assets in direct connection with a facility if the facility had been closed or would have been closed without the acquisition and if the assets are bought by an investor who has no affiliation to the seller. In the case of small enterprises which are taken over by family members of the original owners or by former employees, the condition that the assets must be bought by third parties with no affiliation to the seller, does not apply.

### The following initial investment projects made by large enterprises in a new business activity can be funded:

- investments in capital goods for setting up a new facility or for diversifying the activity of an existing facility as long as the new activity is not the same or similar to the work previously performed in the facility or
- ➤ acquiring the assets of a facility which had been closed or would have been closed without the acquisition and which are acquired by an investor who has no affiliation to the seller as long as the new activity to be performed with the acquired assets is not the same or similar activity as that performed in the facility before the acquisition.

#### Who can claim the funding?

Companies can apply for funding if they operate in the commercial sector, are carrying out eligible investment projects in Mecklenburg-Vorpommern and sell their products or services primarily (more than 50% of sales) outside the immediate vicinity, i.e. exceeding a radius of 50 km. In addition, tourism companies which generate their sales primarily from services to the tourism industry are also entitled to apply.

As well as the sectors of industry already excluded by the GRW coordination framework, the following sectors are also unavailable for funding as a matter of principle:

- gardening and landscaping;
- ► asphalt and concrete mixing plants;
- waste disposal;
- publishing houses;
- media, radio and TV stations and similar organisations;
- printers;
- ▶ wholesale trade, mail order; and production of fuels or replacement fuels as well as biogas unless they primarily serve the facility's own requirements.

#### How is the funding provided?

Basically, the funding is provided in the form of a subsidy for capital expenditures. a) The following base funding rates apply:

	Set-up projects	Expansion projects
Small enterprises	30% (VP 35%)	25% (VP 30%)
Medium-sized enterprises	20% (VP 25%)	15% (VP 20%)
Large enterprises	10% (VP 15%)	10% (VP 15%)

VP = For projects in the district of Vorpommern-Greifswald (not including the town of Greifswald or the administrative areas of Peenetal / Loitz and Jarmen-Tutow), the base funding rate is increased by 5 percentage points.

The EU definition of SMEs<sup>1</sup> is used for small and medium-sized enterprises.

The base funding rate can be increased by up to 5 percentage points up to the maximum funding rate under subsidy legislation on condition that the following perequisites are met:

- projects in manufacturing industry;
- ▶ there is competition for the location of the project;
- siting of central corporate functions;
- ➤ siting / expanding in regions with especially weak infrastructure; particularly innovative projects associated with high R&D potential, or jobs will be
- reated with especially high added value, predominantly filled by specialist staff;
- efforts made by the company to reconcile working life with private life or efforts made by the company in the field of environmental management.

The subsidy value of all public grants claimed must not exceed the maximum funding rates stipulated in the GRW coordination framework. The ratio of eligible investment expenditure to total expenditure is determined on the basis of the number of permanent jobs to be created and secured, in accordance with the definitions contained in the directive on funding commercial industry from the GRW.

The portion of any investment expenditure that is eligible for funding is limited to EUR 500,000 per job created and EUR 250,000 per job secured.

In determining jobs created and secured, they are only taken into account if the hourly wage is not below the minimum wage of EUR 8.50 (employee gross). Jobs intended to be filled by temporary workers are not taken into account in determining the number of jobs created.

#### The basis for assessing the funding is also limited by the following factors:

- as a matter of principle, the acquisition of intangible assets, land and a company's own work are not funded;
- ▶ subsidies linked to wage costs are not granted as a matter of principle;
- investments to create additional bed capacity are not subsidised;
- expenditure on acquiring or producing capital goods from companies affiliated, partnered or interconnected with the recipient of the grant through natural persons are only eligible for subsidy if such acquisition or production result from public tenders issued by the recipient of the grant;
- ▶ ancillary building costs can only be subsidised to the amount of 10 percent of the eligible building costs. Financing expenditure than can be capitalised (interest costs during construction) is not eligible for subsidy.

Exceptions to the above restrictions can be made by the Ministry of Economics, Construction and Tourism in especially justified individual cases if the conditions contained in the coordination framework are met.

There is no legal entitlement to the funding.

#### Company's own contribution not eligible for subsidy:

The recipient of any grants must pay a contribution of their own of at least 25% of the eligible expenditure, either from its own funds or from borrowings not containing any kind of public subsidy.

#### **Application / contact:**

Formal application before the start of the investment project submitted to the State Funding Institute for Mecklenburg-Vorpommern. The investment starts on conclusion of a contract for products or services attributable to the execution of the project, and in the case of construction work, when actual construction work begins. Planning services, initiation of approval processes or the acquisition of land do not constitute the start of the investment project.

#### **Approval authority:**

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: Initial consultation: +49 385 6363-1282

Email: info@lfi-mv.de www.lfi-mv.de

#### Postal address:

PO box: 160255 19092 Schwerin

# 1.2 Funding for micro, small and medium-sized enterprises including freelance professions in Mecklenburg-Vorpommern – BMV loans –

#### What is funding available for?

Finance for investment, participations or equipment with the aim of creating and securing jobs.

#### Who can claim the funding?

Micro, small and medium-sized enterprises in accordance with the EU definition of SMEs<sup>1</sup> including freelance professions based in or with business premises in Mecklenburg-Vorpommern. It is not necessary for the products manufactured or the services offered to be sold predominantly beyond the immediate region (see Clauses 1.1 and 1.2). Certain sectors of industry are excluded from funding as a matter of principle.

#### How is the funding provided?

Loans can be granted on the following terms: Funding proportion: maximum EUR 500,000

Minimum amount: EUR 20,000 Disbursement: 100%

Interest rate: fixed, pursuant to the communication from the Commission on

changing the method of defining reference rates and discount rates, in accordance with the interest rate determined by the BMV interest rate system, which is commensurate with the risk and does not attract any subsidy. In individual cases, the subsidy-free interest rate can be reduced to an individual, arm's length interest rate that covers the risk and is in line with the de

minimis limits permissible under subsidy legislation.

Term: max. 20 years or eight years in the case of loans for equipment Fixed interest: max. ten years or eight years in the case of loans for equipment in equal quarterly instalments; max. two year exemption from

repayments; early repayment possible at all times

Collateral: in rem loan collateral; if not available to the requisite extent,

enforceable copy of a notarised acknowledgement of debt.

The loan is granted subordinate to finance through a house bank. The applicant's ability to service the debt must be demonstrated with the aid of suitable documentation. The applicant must secure the total finance for the project. Any existing funds of the company's own must be used to an appropriate extent.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to Bürgschaftsbank Mecklenburg-Vorpommern, which will also provide information on further precommencement clauses and provisions specific to the programme.

#### Bürgschaftsbank Mecklenburg-Vorpommern GmbH

Ludwig-Bölkow-Haus Graf-Schack-Allee 12 19053 Schwerin Tel.: +49 385 39555-0 Email: info@bbm-v.de

www.bbm-v.de Postal address:

PO box: 160155 19091 Schwerin

## 1.3 Funding for company succession in the manual trades in Mecklenburg-Vorpommern (bonus for master craftsmen)

#### What is funding available for?

The bonus for master craftsmen is a subsidy paid towards the living costs for master craftsmen in connection with a first-time business start-up in the form of a company takeover.

#### Who can claim the funding?

Natural persons, also as partners in a business partnership and corporations which for the first time take over an existing company as a full-time business, thereby setting themselves up in business for the first time. If the operation is taken over jointly by several master craftsmen, only one master craftsman bonus is paid per takeover. The applicant must have their main residence and their business must be based in Mecklenburg-Vorpommern and they must have passed their master craftsman examination in a craft, an industrial master craftsman examination or have an equivalent university or college degree, or they must be able to present an exemption permit from the Chamber of Crafts to exercise their trade on a temporary basis until they complete their master craftsman examination.

In the course of the takeover, a large proportion of the existing jobs subject to social security contributions in the company to be taken over must be preserved and therefore secured for the duration of at least twelve months at the previous level of employment. In the event that there are no jobs subject to social security contributions in the company to be taken over, at least one further job must be created in addition to the job of the company successor. This must be a full-time employment relationship subject to social security contributions, which must be preserved for the duration of at least twelve months. Any employment relationship with the person handing over the business will not be counted in this regard. The job to be created must be remunerated in accordance with collective wage agreements or on locally customary terms. The object of the business to be taken over must match the content of the successor's master craftsman training.

#### How is the funding provided?

One-time subsidy amounting to EUR 7,500.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application submitted to the State Funding Institute of Mecklenburg-Vorpommern which will also provide information on further provisions specific to the programme.

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1451 or 1440

Email: info@lfi-mv.de

www.lfi-mv.de Postal address: PO box: 160255 19092 Schwerin

#### 1.4 Funding for entrepreneurship

#### What is funding available for?

Measures aimed at improving the climate for knowledge-based start-ups in particular, reinforcing a positive attitude towards self-employment and personal responsibility and prompting innovative start-ups. The activities must be of structural significance from the state's perspective.

#### This is seen particularly in measures:

- which are carried out in collaboration with universities, research facilities and technology centres, which promote entrepreneurial thinking in students as well as funding scientists, informing them of the opportunities of a start-up, advising and supporting them;
- which promote the subject of company succession as an alternative form of selfemployment and support it with specialised offers for qualifications, advice and coordination:
- which draw the public's attention to the opportunities of self-employment and network potential offers of support or
- ▶ which prompt the foundation of pupil-run companies as part of the "Pupil-run company" initiative for Mecklenburg-Vorpommern and advise and support them (expires 31 July 2017).

#### The measures in question must be aimed in particular at the following target markets:

- > students, university graduates, scientists as well as
- ▶ people involved in a company succession.

#### Who can claim the funding?

Natural persons and legal entities under private and public law.

#### How is the funding provided?

Subsidy amounting to up to 90% of eligible expenditure. In exceptional cases, a grant of up to 100% can be extended if the purpose of the grant can only be fulfilled if the state assumes all the eligible expenditure.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the measures submitted to the State Office for Health and Social Affairs for Mecklenburg-Vorpommern which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Landesamt für Gesundheit und Soziales Mecklenburg-Vorpommern

Erich-Schlesinger-Straße 35

18059 Rostock

Tel.: +49 381 33159-089

Email: poststelle.zentral@lagus.mv-regierung.de

www.lagus.mv-regierung.de

## 1.5 Funding for business founders by granting microloans in Mecklenburg-Vorpommern

#### What is funding available for?

Business founders can receive interest-bearing loans to fund deductible operating expenses (investments and equipment) before taking up their business activities and/or within the first 36 months of taking up their business activities.

#### Who can claim the funding?

Natural persons, even if they are partners in a business partnership and corporations who want to set up a new company.

#### How is the funding provided?

- ▶ Interest-bearing, repayable instalment loan of up to EUR 10,000 for each start-up on proof of a funding shortfall if an additional job or training place is created.
- ▶ Interest-bearing, repayable instalment loan of up to EUR 20,000 for each start-up if a business bank participates in funding the existing shortfall.
- ▶ Interest-bearing, repayable instalment loan of up to EUR 20,000 on takeover of the business.

The loans can be applied separately or combined until the maximum amount of EUR 20,000 has been reached. The term of the loan can be up to 5 years and it is exempt from repayments for up to 12 months.

The interest rate is fixed at 5% per annum on the remaining debt for the entire term of the loan.

There is no legal entitlement to the funding.

#### Application / contact:

Formal application before the start of the measures submitted to GSA - Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### GSA – Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH

Schulstraße 1-3 19055 Schwerin

Tel.: +49 385 55775-0 Email: info@gsa-schwerin.de www.gsa-schwerin.de

www.gruender-mv.de

Postal address:

Postfach: 111117 19011 Schwerin

#### 1.6 ERP start-up loan – start-up money (KfW)

#### What is funding available for?

All forms of start-up, i.e. the setting-up or takeover of a company and the acquisition of an active participation as long as the project gives rise to the expectation of sustainable, economic success; also as a secondary occupation aimed in the medium term at a full-time venture, as well as consolidation measures to be taken within three years of taking up business activities.

#### Who can claim the funding?

Natural persons who set up a company or self-employed status in Germany or who carry out consolidation measures for this purpose with a project due to start within three years of taking up their business activities as well as small commercial companies as per the EU definition of SMEs<sup>1</sup> which have been in the market for less than three years. One prerequisite is that at least one partner must meet the application conditions for natural persons.

#### How is the funding provided?

Long-term loan of up to EUR 100,000 (investment and equipment), of which the equipment must not be more than EUR 30,000. Funding proportion of up to 100% of total external funding requirement.

A second application can be made as long as the maximum funding amount is not exceeded.

The ERP start-up loan – universal is available for projects with a higher requirement for external funding (see next page).

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 1.7 ERP start-up loan – Universal (KfW)

#### What is funding available for?

All forms of start-up, i.e. the setting-up or takeover of a company and the acquisition of an active participation as long as the project gives rise to the expectation of sustainable, economic success; also as a secondary occupation as well as consolidation measures to be taken within five years of taking up business activities.

#### Who can claim the funding?

- ▶ Natural persons who set up a company or self-employed status or who carry out consolidation measures for this purpose within five years of taking up their business activities. The business founder must have the necessary technical and commercial aptitude for the entrepreneurial activity.
- ▶ Natural persons who take over companies in the commercial sector or enter into an active participation as part of a company succession or top up such a participation even if it is already more than five years old.
- ► Freelancers and companies within five years of taking up their business activities which meet the conditions set out in the EU definition of an SME¹.
- ► Freelancers and larger, medium-sized enterprises in the commercial sector, within the first five years of taking up their business activities, which are predominantly in private ownership and whose group sales do not exceed EUR 500 m.

In the case of projects abroad, medium-sized enterprises and members of freelance professions from Germany, subsidiaries of German companies based abroad as well as joint ventures with a definitive German participation abroad, are all entitled to file an application.

#### How is the funding provided?

Long-term loan of up to EUR 25 m per project. Funding proportion up to 100% of eligible investment costs or equipment.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 1.8 ERP capital for start-ups (KfW)

#### What is funding available for?

All forms of start-ups, i.e. setting up or taking over companies as well as acquiring an active participation or implementing consolidation measures within three years of taking up business operations.

A new start-up can be supported if there are no liabilities left from any earlier selfemployed activity.

#### Who can claim the funding?

Natural persons who set up a company or self-employed status or who implement consolidation measures within three years of taking up their business operations.

The criteria for small and medium-sized enterprises as per the EU definition of an SMF1 must be met

#### How is the funding provided?

Long-term loan of up to EUR 500,000 per applicant. For states in former East Germany: the company's own funds used are not to be less than 10% of the eligible costs. They can be topped up with the subordinated loan to 50% of the eligible costs.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 1.9 KfW loans for entrepreneurs

#### What is funding available for?

Funding for investments and equipment for medium-sized enterprises and selfemployed people at home and abroad.

#### Who can claim the funding?

Domestic and foreign companies in the commercial sector (manufacturing industry, manual trades, retail, leasing companies and other service industries) and self-employed persons who have operated in the market for at least three years (start of business operations).

In the case of affiliated companies, annual consolidated sales must not exceed EUR 500 m.

Private individuals who let or lease commercial property can also fund projects from this programme.

Abroad, subsidiaries of German companies and joint ventures with at least 30 percent German participation can also enjoy funding.

#### How is the funding provided?

Long-term loan on favourable terms, up to 100% of eligible investment costs and equipment. Maximum loan of EUR 25 m per project.

Small and medium-sized enterprises as per the EU definition of SMEs<sup>1</sup> can be given especially favourable terms.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 1.10 KfW loans for entrepreneurs - Plus

#### What is funding available for?

Funding for investments (assets that can be capitalised under fixed assets) and equipment.

#### Who can claim the funding?

- ► Innovative small and medium-sized enterprises at home and abroad in accordance with the SME definition of the EU¹, as well as
- ▶ larger mid-caps whose consolidated sales do not exceed EUR 500 m and
- ► self-employed persons.

#### How is the funding provided?

Long-term loan on favourable terms, up to 100% of eligible investment costs and equipment. Maximum loan for SMEs<sup>1</sup> and self-employed persons EUR 3 m per project and EUR 7.5 m per project for larger companies.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions.

#### For additional information:

Information Centre of the KfW Banking Group

#### 1.11 ERP regional funding programme (KfW)

#### What is funding available for?

Funding for the investments of small and medium-sized enterprises as per the EU definition of an SME<sup>1</sup> in regional aid areas of Germany. These include all locations in former East Germany.

#### Who can claim the funding?

- Small and medium-sized enterprises in accordance with the SME definition of the EU<sup>1</sup>
- Business founders who have the necessary technical and commercial qualifications for the entrepreneurial activity
- ► Self-employed persons
- ► Natural persons who let or lease commercial property

#### How is the funding provided?

Long-term loans of up to a maximum of EUR 3 m per project, funding proportion up to 100% of eligible investment costs in former East Germany and Berlin as well as regional aid areas in former West Germany.

Small enterprises can benefit from especially favourable terms.

There is no legal entitlement to the funding.

#### Application / contact:

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 1.12 Market development programme

#### What is funding available for?

The market development programme offers different modules and packages in order to support German suppliers in marketing their products on the international stage:

- ▶ informational events;
- market exploration;
- ▶ initiating business;
- buying trips;
- ▶ informational trips by foreign decision-makers;
- pilot projects.

#### Who can claim the funding?

The target market consists of SMEs<sup>1</sup>, self-employed persons and members of freelance professions in the commercial sector and related services with their business operations in Germany.

#### How is the funding provided?

The funding benefits the enterprises indirectly and is based on the nature of the particular measure employed. In particular it takes the form of:

- providing information and specialist knowledge related to the subject and country concerned;
- advising participating countries on the basis of sector profiles produced and specific, comprehensive information and analyses on countries, markets and sectors:
- ▶ identifying and initiating contacts with potential business partners;
- preparing and implementing business meetings in Germany or in the relevant target country and
- organising follow-ups for participants.

There is no legal entitlement to the funding.

#### Contact:

## Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Unit 414

Frankfurter Straße 29-35

65760 Eschborn

Telephone: +49 6196 908-2670 Email: poststelle@bafa.bund.de

www.bafa.de

## Office for the market development programme for SMEs¹ at the Federal Office of Economics and Export Control

Villemombler Straße 76

53123 Bonn

Tel.:+49 228 615-4290

Email: markterschließung@bmwi.bund.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

## 1.13 Funding measures to boost growth and employment in the health sector

#### What is funding available for?

The funding is intended for projects for promoting network structures and marketing measures as well as supporting innovative plans and investment projects for enhancing participants' adaptability and competitiveness in the health sector. In addition, health sector projects to strengthen the competitiveness of enterprises in the country are also funded by supporting SMEs¹ in securing qualified staff.

#### Who can claim the funding?

The recipients of grants can be natural persons or legal entities under private and public law with a corresponding connection to the health industry.

If companies are involved, the current European Commission definition of small and medium-sized enterprises (SMEs)<sup>1</sup> applies.

#### How is the funding provided?

The grant is paid to finance a share of the project and consists of a non-repayable subsidy towards the eligible expenses.

Networks are always funded for up to two years at the rate of 75% of the eligible costs. As a matter of principle, a network can receive total funding of up to EUR 150,000. The funding of marketing activities is based on the state's political interest in the particular measure in each individual case, and in principle can amount to 75%, and in exceptional cases up to 90%, of eligible costs.

De minimis rules are applied, if relevant.

There is no legal entitlement to the funding.

#### Application / contact:

The Ministry of Economics, Construction and Tourism for the state of Mecklenburg-Vorpommern has a provision for holding competitions for ideas.

Formal application before the start of the project submitted to the State Funding Institute for Mecklenburg-Vorpommern which will also provide information on further provisions.

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: Mrs. Schommartz +49 385 6363-1464

Email: info@lfi-mv.de www.lfi-mv.de Postal address:

Postfach: 160255 19092 Schwerin

#### 2 Supporting the labour market

#### 2.1 Supporting the labour market of Mecklenburg-Vorpommern

## 2.1.1 Supporting adaptability and competitiveness of employees and companies

#### What is funding available for?

There is funding available for participation in further vocational training for employees by means of measures which enable skills and qualifications to be preserved, expanded or acquired in a corporate context. Training vouchers are the main instrument of this funding.

#### Funding is also available for measures which in particular:

- ➤ serve to tap and develop the potential of individual target markets in order to secure qualified workers (e.g. older employees);
- ► make a special contribution towards the development of individual sectors and towards securing skilled workers for them;
- ▶ are connected with the establishment, expansion and restructuring projects of commercial enterprises.

#### Who can claim the funding?

Natural persons who own a company as well as partnerships and legal entities under public and private law.

#### How is the funding provided?

A share of the project is funded in the form of a non-repayable subsidy amounting in principle to 50% of eligible costs. If the conditions pursuant to EU Regulation No. 1407/2013 are met, a subsidy is paid amounting to 75% of eligible costs. If the grant does not constitute a subsidy as defined in EU law, it will amount to 100% of the eligible costs.

However, it is limited to a maximum of EUR 500 per training voucher and qualification measure for qualifications with a qualified certificate of attendance. In the case of exam-oriented qualifications, particularly on the basis of regulated examination standards or with the aim of obtaining partial qualifications that can lead to higher ones or a final certificate, the grant is limited to a maximum of EUR 3,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Grants are extended on the basis of a written application. Formal applications must be submitted to the approval authority, GSA – Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH. Application forms for grants can be downloaded from the Internet at www.gsa-schwerin.de.

#### GSA – Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH

Schulstraße 1-3 19055 Schwerin

Tel.: +49 385 55775-0 www.gsa-schwerin.de Email: info@gsa-schwerin.de

Postal address PO box: 11 11 17 19053 Schwerin

#### 2.1.2 Supporting structural development measures

#### What is funding available for?

Funding is available for structural development measures capable of supporting the provision of structure-enhancing services in a corporate environment, thereby achieving structural progress. The measures are aimed at reinforcing the priorities of economic development by creating or securing jobs in the general labour market.

#### Who can claim the funding?

Legal entities under public and private law.

#### How is the funding provided?

Funding for the measures is conditional upon a positive vote from the responsible Regional Advisory Council. Funding is provided in the form of a subsidy of up to 70% of the employer's gross expenses for an employment relationship subject to social security contributions with payment at collective wage agreement rates or in line with the usual rates for the location, for one year of employment in principle. The subsidy may not exceed EUR 25,000 per full-time employee and per year of employment.

The project can be extended by one further year of funding to a total of two funding years after a further vote in the responsible Regional Advisory Council.

There is no legal entitlement to the funding.

#### Application / contact:

Grants are extended on the basis of a written application. This must be sent via the office of the responsible Regional Advisory Council in the Ministry for Work, Equal Opportunities and Social Affairs of the State of Mecklenburg-Vorpommern to the State Office for Health and Social Affairs for Mecklenburg-Vorpommern. The offices of the Regional Advisory Councils will provide information on further precommencement clauses and provisions specific to the programme.

#### Offices of the Regional Advisory Councils

 Westmecklenburg region
 Dr. K. Schuldt,
 Tel.: +49 385 588-9535

 Rostock region
 Dr. C. Westphal,
 Tel.: +49 381 331-59215

 Mecklenburgische Seenplatte region
 Mrs. S. Prokop,
 Tel.: +49 395 380-59617

 Vorpommern region
 Mrs. H. Rohloff,
 Tel.: +49 3831 2697-59808

#### Approval authority:

Landesamt für Gesundheit und Soziales MV Erich-Schlesinger-Straße 35 18059 Rostock

Tel.: +49 381 331-59088

Email: poststelle.zentral@lagus.mv-regierung.de

#### 2.2 Labour market aid from the federal government

#### Note:

The labour market assistance described in Clauses 2.2.1 and 2.2.2 do not have the character of a funding programme; it is essentially derived from the regulations contained in the Third Social Security Statute Book (SGB III) in conjunction with the rules contained in the Second Social Security Statute Book (SGB II). Labour market assistance is characterised by the basic objective of reintegrating people seeking training places and jobs into the labour market. Even if with some individual labour market measures funding flows directly to the company, in the final analysis, such measures are aimed at reintegrating the unemployed and not at supporting enterprises.

#### 2.2.1 Services for citizens

# 2.2.1.1 Services to support job placements – measures to activate potential and for occupational integration (Social Security Statute Book – SGB III)

#### What help is there?

Participation in measures to support vocational integration by:

- ▶ leading people to the market of training places and jobs;
- ▶ identifying, reducing or removing barriers to the placement of job-seekers;
- ▶ placing job-seekers in employment subject to insurance contributions;
- ▶ leading job-seekers to become self-employed or
- > stabilising the take-up of employment

can attract funding under certain conditions.

The provision of professional knowledge is possible for a period of up to eight weeks. If measures or parts of measures are conducted on the premises of a company or by that company, they must not last longer than a period of six weeks.

#### Who is eligible for help?

People seeking training places, job-seekers threatened with redundancy and the unemployed.

#### What is the level of help available?

The funding covers the assumption of the appropriate cost of participation if it is required for the purpose of professional integration. The funding can be limited to the continuation of unemployment benefit.

#### For additional information:

## 2.2.1.2 Support for taking up self-employed work – start-up subsidy

#### What help is there?

Employees who take up self-employed, full-time work, thereby terminating their unemployment, can obtain a start-up subsidy in the period after setting up their business in order to secure their livelihood and for their social protection.

#### Who is eligible for help?

A start-up subsidy can be paid if the employee has an entitlement to unemployment benefit for at least 150 days until taking up their self-employed work.

The viability of the start-up must also be demonstrated to the Employment Agency. For this purpose, an opinion from a knowledgeable body must be presented. Knowledgeable bodies include in particular Chambers of Industry and Commerce, Chambers of Crafts, professional bodies, trade associations and banks.

The business founder must present their knowledge and skills for conducting the self-employed work.

#### What is the level of help available?

The start-up subsidy is paid in two phases.

Business founders can obtain a start-up subsidy for six months at the level of their last unemployment benefit to secure their livelihood plus a monthly amount of EUR 300 for their social security.

An amount of EUR 300 per month for social security can be paid for a further nine months if intensive business operations and full-time entrepreneurial activity can be demonstrated.

#### For additional information:

#### 2.2.1.3 Support for further vocational training – training voucher

#### What help is there?

The training voucher represents the assurance that further training costs will be paid on participation in further vocational training measures. A training voucher can be issued on condition that an individually necessary need for qualifications has been established in a personal interview with a placement and consultation specialist from the Employment Agency.

#### Who is eligible for help?

#### Employees can benefit from funding if:

- ▶ the further training is required to integrate them professionally if they have been unemployed or to avert impending redundancy or because they have no professional qualifications; they have been advised by the Employment Agency
- before starting their participation and the Agency has issued a training voucher to certify that they meet the funding conditions and the training measures and the organisers of the training have been certified by a
- ► knowledgeable body.

The training voucher includes the training objective and the qualification priorities, the maximum duration for further training measures envisaged and the voucher's validity. The participant can redeem the training voucher within the period of its validity with a certified organiser of their choice for participating in certified training measures with a training objective that corresponds to that designated in their training voucher.

If an employee has no entitlement to unemployment benefit, only the further training costs can be paid.

Employees can also benefit from having their further education costs paid for obtaining their secondary school leaving certificate or an equivalent school leaving certificate at a later stage if they meet the funding requirements and there is a justified expectation that their participation will be successful.

#### What is the level of help available?

The assumption of further education costs depends on the budget funds available (optional benefits).

The required course costs, travel costs, accommodation costs away from home and subsistence costs as well as the cost of looking after children will be paid.

Participants with an entitlement to unemployment benefit will receive their benefit if they take part in funded further education measures to improve their professional qualifications.

#### For additional information:

#### 2.2.2 Services for companies

#### 2.2.2.1 Appointing staff – integration subsidy (EGZ)

#### What help is there?

In order to integrate employees who are hard to place for reasons to do with their person, companies can obtain a subsidy towards the salary to compensate for reduced performance (integration subsidy).

#### Who is eligible for help?

Integration subsidies can be granted to employers.

#### What is the level of help available?

The level and duration of the subsidy depend on the extent to which the employee's performance is limited and on the demands of the particular job (reduced performance).

The subsidy can amount to up to 50% of the eligible salary and it can be paid for a period of up to 12 months.

In the case of employees past the age of 50, the support can last for up to 36 months if subsidies have started by 31 December 2019.

The regular salary payments are eligible for consideration as long as they do not exceed the pay under collective wage agreements or the usual rates for the location or the income threshold for funding work. The employer's blanket share of the total social security contribution is included in the calculation of the subsidy.

#### Where can the help be obtained?

The benefits must be applied for from the responsible Employment Agency before the employee starts work; offices of the Federal Labour Agency will provide information on the current funding requirements.

#### For additional information:

#### 2.2.2.2 Entry qualifications

#### What help is there?

Companies which conduct introductory company training can obtain funding through subsidies towards the internship remuneration. Introductory training serves to communicate the basics for acquiring workplace skills. The contents of the training course are based on the contents of recognised apprenticeships (Sec. 4 of the Vocational Training Act (BBiG), Sec. 25 of the Trade and Crafts Code (HwO) and Care for the Elderly Act (AltPfIG)).

The funding includes an internship of at least six to a maximum of 12 months.

The company should aim to offer trainees permanent employment.

#### **Target markets:**

- trainee applicants with individually limited placement prospects who even after 30 September of each year subsequent to the nationwide follow-up placement campaigns led by chambers of commerce and the Employment Agency, have not found a training place;
- traineeship-seekers who do not yet have the required trainee qualifications to the full extent:
- traineeship-seekers with learning disabilities and those who are socially disadvantaged;
- ▶ applicants over the age of 25 as well as persons with higher education entrance qualifications can only be funded in exceptional, justified circumstances and
- young persons who are still subject to compulsory school attendance cannot be funded in introductory training.

#### Who is eligible for help?

Companies that conduct introductory company training.

#### What is the level of help available?

A subsidy of up to EUR 216 per month plus the blanket share of the average overall social security contribution.

#### For additional information:

# 2.2.2.3 Further training for low-skilled and older employees employed in the company (WeGebAU) – subsidy for the remuneration of unskilled workers

#### What help is there?

The Employment Agency can pay a subsidy towards the pay of employees who have so far not completed any vocational qualifications and who are acquiring such qualifications as part of their existing employment relationship.

#### Who is eligible for help?

The company receives the subsidy.

#### What is the level of help available?

The subsidy is paid for the period in which the employee is unable to work due to taking part in further education measures. The level of funding depends on the qualification requirements and the work lost.

In the case of further training within the company, the subsidy paid towards the salary is subject to an upper limit of 50%.

#### For additional information:

www.arbeitsagentur.de

# 2.2.2.4 Further training for low-skilled and older employees employed in companies (WeGebAU) Funding for the vocational training of employees

#### What help is there?

The subject here is further training conducted as part of the existing employment relationship with salary payments maintained. The further training must impart knowledge that can be exploited in the general labour market and is certified for further training funding. Qualifications which the employer has a statutory obligation to provide, are not eligible for funding.

#### Who is eligible for help?

Employees with no professional qualifications or with professional qualifications if they have been performing unskilled or semi-skilled work for at least four years and are no longer able to work in the job they are trained for and if they take part in qualification training as part of an existing employment relationship through which they can acquire professional qualifications. The responsible body and the training measures must be certified for further training funding.

#### Employees who have professional qualifications if

- ▶ they are 45 or over when they commence their participation,
- they are still entitled to their pay for the period of participation in the training measures as part of an existing employment relationship,
- ▶ the company they work for has fewer than 250 employees,
- ▶ the training is conducted outside the company they work for,
- knowledge and skills are imparted which go beyond refresher training conducted at short notice and exclusively related to the place of work and
- ▶ the responsible body and the training measures are certified for vocational further training funding.

Employees below the age of 45 can also be supported when taking part in further vocational training through full payment or partial payment of the training costs. In addition to the requirements stipulated above,

- ▶ the employee must bear at least 50% of the cost of the course and
- ▶ the measures must start before 31 December 2019.

#### What is the level of help available?

The full cost of the course is met for low-skilled employees.

Up to 75% of the cost of the course can be met for employees with professional qualifications who are 45 or over.

Up to 50% of the cost of the course can be met for employees who are under the age of 45.

Travel costs, childcare costs and the cost of board and lodging additionally incurred through taking part in the further training, will also be reimbursed.

#### For additional information:

www.arbeitsagentur.de

## 3 Municipal infrastructure

(see also Clauses 9 and 12)

## 3.1 Common task: "Improving the regional structure for business" (GRW): Expanding business-related infrastructure

#### What is funding available for?

Priority given to investment measures in business-related and tourism infrastructure which are required in connection with the direct and immediate creation and securing of industrial jobs. Projects for setting up, expanding or modernising research infrastructure can also benefit from funding. The infrastructure funded in this context must be targeted and predominantly serve the development of the industrial economy as well as research, the distribution of knowledge or the generation of new knowledge.

So-called non-investment measures in the form of integrated, regional development concepts, planning and consultancy services for preparing or implementing eligible infrastructure measures as well as collaborative networks, can also enjoy funding.

#### Who can claim the funding?

Preference given to municipal authorities and associations in the State of Mecklenburg-Vorpommern as well as in individual cases legal entities pursuing tax-privileged purposes and which are recognised by the tax authorities, or other legal entities not designed for profit. Grants for research infrastructure projects are only handed out to business-related, charitable, extramural research and development facilities recognised by the Ministry of Economics, Construction and Tourism as centres of excellence.

In the field of collaborative networks, associations of at least three partners are required of which at least one must be a commercial company and further partners from business-related facilities.

#### How is the funding provided?

Investment subsidies for business-related infrastructure measures can usually be approved for up to 60% of the eligible costs.

#### In exceptional cases, up to 90% can be funded if the following conditions are met:

- ▶ the infrastructure measures to be funded are carried out as part of an intermunicipal collaboration or
- ▶ the infrastructure measures to be funded form part of a regional development strategy or
- ▶ the project serves to revitalise an abandoned industrial site (industrial, commercial, conversion or transport brownfield land).

Funding is limited to 75% or a maximum of EUR 50,000 for integrated regional development concepts, and 75% of eligible costs for planning and consultancy services. Corporate networks can be funded for a maximum duration of three years with a subsidy of up to 75% or a maximum of up to EUR 200,000 if there are at least three partners.

In the case of research infrastructure projects, the grant can be up to 90% of the eligible costs although the subsidy paid towards expenditure qualifying for State aid must not exceed 50% of such expenditure.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the measure submitted to the State Funding Institute for Mecklenburg-Vorpommern which will also provide information on further pre-commencement clauses and provisions specific to the programme. Work may only start on the project once it has been approved in writing by the Ministry of Economics, Construction and Tourism for Mecklenburg-Vorpommern.

#### Approval authority:

Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1416 or 1413

Email: info@lfi-mv.de www.lfi-mv.de

Postal address: Postfach: 160255 19092 Schwerin

### 3.2 Funding for local public transport (ÖPNV) in the State of Mecklenburg-Vorpommern

#### What is funding available for?

- Measures in connection with projects aimed at reinforcing the local public transport infrastructure and at improving the cost-effectiveness or attractiveness of local public transport. Funding is available in particular for the following:
- ▶ construction of new central bus stations or the expansion of existing ones,
- ▶ central workshop facilities (depot, vehicle washing facilities and parking garages),
- ▶ hubs and stops and their facilities,
- ▶ park and ride areas provided free of charge to users,
- bus lanes as long as they serve public transport,
- measures for speeding up local public transport, particularly computerised operations control systems,
- ▶ technical measures for controlling signal lights,
- ▶ measures for creating or improving a barrier-free infrastructure.
- 1a. Investments for the procurement of public buses of classes I, II, III, IV, designed to be barrier-free.
- Measures implemented in local rail network in the form of new construction work, expansion and replacement investment
- in rail tracks,
- ▶ in signal, communications and security systems as well as in operations control technology,
- ▶ in operating systems and equipment for them,
- ▶ in passenger systems and equipment for them,
- ▶ to enhance the attractiveness of stations,
- ▶ in rail power supply systems.

#### Who can claim the funding?

Municipalities and rural districts, private and public transport companies in Mecklenburg-Vorpommern

#### How is the funding provided?

Investment subsidy of up to 75% of eligible expenditure. Procurement of new buses (see 1a.) in accordance with fixed allocation rules.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application by 30 March of each year, preceding the envisaged starting date for the work, submitted to the responsible approval authorities at the State Office for Road Construction and Transport and Verkehrsgesellschaft Mecklenburg-Vorpommern mbH. These offices are available to provide further information.

#### No. 1 - Landesamt für Straßenbau und Verkehr

- Unit 14 -

Erich-Schlesinger Straße 35

18059 Rostock

Tel.: +49 381 12237

Email: lsmv@sbv.mv-regierung.de

#### No. 2 - Verkehrsgesellschaft Mecklenburg-Vorpommern mbH

Schloßstraße 37 19053 Schwerin

Tel.: +49 385 590870

Email: vmv.mbh@t-online.de

### 3.3 Funding for local public transport (ÖPNV) in Mecklenburg-Vorpommern out of funds from the European Regional Development Fund (ERDF)

#### What is funding available for?

- Measures for creating or improving a barrier-free infrastructure, such as the construction, expansion and conversion of barrier-free access points to local public transport stops
- ► Construction, conversion and expansion of local public transport stops and equipment for them
- ► Clearing and redesigning the surroundings to public transport stops including greening measures
- ► Park&Ride and Bike&Ride facilities
- ▶ Investments and measures in connection with the integration of new forms of transport and the development of alternative local public transport concepts

#### Who can claim the funding?

The funding is addressed to municipalities and rural districts. Funding can also be provided for transport companies in Mecklenburg-Vorpommern if this is permitted under the regulations contained in European aid legislation.

#### How is the funding provided?

Investment subsidy of, in principle, up to 75% of eligible expenditure. Notwith standing, in the case of projects forming part of the special programme, "Barrier-free stops in Mecklenburg-Vorpommern", funding of up to 80% of the eligible expenses can be granted.

The funding is based on the Funding Guideline for the Granting of Subsidies for Investments and Measures in local public Transport out of funds provided by the European Regional Development Fund in the State of Mecklenburg-Vorpommern.

There is no legal entitlement to the funding.

#### **Application / contact:**

The formal application must be submitted before work starts to the State Funding Institute for Mecklenburg-Vorpommern which can also provide information on further provisions specific to the programme.

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: Initial consultation: +49 385 6363-1282 or 1387

Email: info@lfi-mv.de www.lfi-mv.de

#### Postal address:

Postfach: 160255 19092 Schwerin

#### 3.4 Municipal road-building in Mecklenburg-Vorpommern

#### What is funding available for?

- ▶ The construction and expansion of municipal transport infrastructure in accordance with the Directive for Municipal Road Construction in Mecklenburg-Vorpommern (KommStrabauRL MV) from State funds.
- ▶ The preservation of municipal transport infrastructure in accordance with KommStrabauRL MV from State funds.
- ▶ Intersection measures in accordance with the Federal Highway Act, the Roads and Lanes Act of the State of Mecklenburg-Vorpommern, the Railway Crossing Act and the Federal Waterways Act.

#### Who can claim the funding?

Municipalities, rural districts or associations of local authorities for the roads which they have an obligation to construct and maintain.

#### How is the funding provided?

Subsidy amounting to up to 75% of eligible expenditure.

There is no legal entitlement to the funding.

#### **Application / contact:**

Please send your registration or formal application before starting work on the project to the responsible Road Construction Offices of Schwerin, Stralsund or Neustrelitz. You can also obtain further information from the Road Construction Offices or from the Ministry for Energy for Mecklenburg-Vorpommern, Unit 240.

#### Straßenbauamt Schwerin

Pampower Straße 68 19061 Schwerin Tel.: +49 385 511-40

Email: sba-sn@sbv.mv-regierung.de

#### Straßenbauamt Neustrelitz

Hertelstraße 8 17235 Neustrelitz Tel.: 03981 460-0

Email: sba-sn@sbv.mv-regierung.de

#### Straßenbauamt Stralsund

Greifswalder Chaussee 63b 18439 Stralsund

Tel.: +49 3831 274-0

Email: sba-hst@sbv.mv-regierung.de

#### Ministry for Energy, Infrastructure and Regional Development

Mecklenburg-Vorpommern Unit 240 Schloßstraße 6 - 8 19053 Schwerin

Tel.: +49 385 588-8240

Email: poststelle@em.mv-regierung.de

www.em.regierung-mv.de

#### 3.5 Municipal cycleway construction in Mecklenburg-Vorpommern

#### What is funding available for?

- ▶ The construction or expansion of a roadside cycleway, warranted for traffic reasons, on a road falling within the municipality's obligation to construct and maintain (roadside cycleway).
- ► The construction or expansion of a separate municipal cycleway serving to connect towns or districts.
- ▶ The expansion of existing paths for bicycles which are suitably linked in spatial terms to a road falling within the municipality's obligation to construct and maintain.
- ▶ The construction of new cycleways to link the cycle paths mentioned in the previous item.

Funding is based on the Directive for the co-financing of investments in the construction of cycleways falling within the municipality's obligation to construct and maintain through funds from the European Region Development Fund (ERDF) in the State of Mecklenburg-Vorpommern 2014 - 2020 (KommRadbauRL MV).

#### Who can claim the funding?

Municipalities, rural districts or municipal associations for the cycleways which they have an obligation to construct and maintain.

#### How is the funding provided?

Subsidy amounting to up to 75% of eligible expenditure.

There is no legal entitlement to the funding.

#### **Application / contact:**

The formal application must be submitted to the State Funding Institute for Mecklenburg-Vorpommern before work starts on the project.

You can also obtain further information from the State Funding Institute or from the Ministry for Energy for Mecklenburg-Vorpommern, Unit 240.

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1305 Email: info@lfi-mv.de www.lfi-mv.de/

#### Ministry for Energy, Infrastructure and Regional Development

Mecklenburg-Vorpommern Unit 240 Schloßstraße 6-8 19053 Schwerin

Tel.: +49 385 588-8240

Email: poststelle@em.mv-regierung.de

www.em.regierung-mv.de

### 3.6 Funding for constructing sports facilities in Mecklenburg-Vorpommern

#### What is funding available for?

The State of Mecklenburg-Vorpommern provides funding for the construction of sports facilities as part of the European Agricultural Fund for Rural Development (EAFRD) with EU and State funds (Funding Area I) and the construction of sports facilities with State and Federal funds (Funding Area II).

Funding is available, in particular, for the modernisation and repair as well as the expansion, conversion and construction of new municipal and club sports facilities as well as for furnishing them with sports equipment.

#### Who can claim the funding?

#### Recipients of grants for Funding Area I include:

- ➤ rural districts and boroughs (with the exception of the towns of Rostock, Schwerin, Greifswald, Neubrandenburg and Stralsund),
- ▶ not-for-profit sports organisations which are members of the State Sports Federation of Mecklenburg-Vorpommern.

#### Recipients of grants for Funding Area II include:

- rural districts, free towns and boroughs,
- ▶ not-for-profit sports organisations which are members of the State Sports Federation of Mecklenburg-Vorpommern,
- ▶ the State Sports Federation,
- ▶ other charitable bodies based and operating in Mecklenburg-Vorpommern.

#### How is the funding provided?

#### For Funding Area I:

- ▶ in the case of municipal sports facilities: grants of 40% of the eligible costs, to a maximum of EUR 300,000 (gross funding); exceptions can be made in individual cases.
- ▶ in the case of construction work by charitable sports organisers:

Exceptions can be made in individual cases - if there is a particular State interest involved.

The grant is made up of 75% EAFRD funds and 25% co-finance funds which must be provided from public funds (municipality or State).

#### For Funding Area II:

- ► construction work by sports clubs with charitable status and other not-for-profit bodies: grant of max. 60% of eligible expenditure;
- construction work by the State Sports Federation: grant of up to 100% of eligible expenditure;
- ▶ construction work on elite sports facilities: grant of up to 70% of eligible expenditure to top up funding from the federal government.

The maximum grant for construction projects by sports clubs is EUR 100,000. Exceptions can be made in justified cases.

There is no legal entitlement to the funding.

#### **Application / contact:**

a) informal request for information by municipal and other bodies with charitable status by 30.11. of the previous year to be sent to:

## Ministerium für Inneres und Sport Mecklenburg-Vorpommern [Ministry for the Interior and Sport for Mecklenburg-Vorpommern]

Abteilung 1 [Department 1]
II 460-1, Sportangelegenheiten [sports matters]
Arsenal am Pfaffenteich
Alexandrinenstraße 1
19048 Schwerin

Tel.: +49 385 588-2171

Email: poststelle@im.mv-regierung.de

b) informal request for information by sports clubs and federations to be sent to:

## Landessportbund Mecklenburg-Vorpommern e. V. [State Sports Federation of Mecklenburg-Vorpommern]

Wittenburger Straße 116 19059 Schwerin

The contact persons concerned will also supply information on further aspects specific to the programme.

The Directive on funding the construction of sports facilities (Sports Facilities Construction Directive - SportstbRL MV) dated 25.03.2015 and all documentation relevant to any application are also available on the homepage of the State Funding Institute of Mecklenburg-Vorpommern (www.lfi-mv.de) under the heading "Förderungen [Funding]".

#### 3.7 IKK - Investment loans for municipalities (KfW)

#### What is funding available for?

Investments in municipal and social infrastructure including the purchase of land, as well as investments in housing projects.

#### Who can claim the funding?

- Municipal authorities
- ► Legally dependent in-house operations of municipal authorities
- Municipal associations (e.g. special purpose municipal associations) which have a risk weighting by the credit risk standard model of zero in accordance with the regulation governing the regulatory requirements of banks and investment firms

#### How is the funding provided?

Long-term loan of up to EUR 150 m per year and applicant. The funding share can be up to 50% of the eligible investment costs for loan amounts over EUR 2 m, and up to 100% for loan amounts up to EUR 2 m.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### KfW banking group Info Centre for municipalities:

#### 3.8 IKU - Investment loans for municipal and social enterprises (KfW)

#### What is funding available for?

Basically all investments in the municipal and social infrastructure by municipal undertakings and natural persons can attract funding. Investments in the social infrastructure by not-for-profit organisations can attract funding if they serve a charitable purpose.

#### Who can claim the funding?

- ► Companies with a background of predominantly municipal partners
- ► Charitable organisations including churches
- ➤ Companies (regardless of their legal form or ownership structures) as well as natural persons as part of public-private partnerships (PPP models); in the case of companies, their consolidated sales must not exceed EUR 500 m.
- ► Companies (regardless of their legal form, consolidated sales or ownership structure) as part of forfeiting models

#### How is the funding provided?

Long-term loan up to 100% of eligible investment costs. The maximum loan amount is EUR 50 m per project.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 3.9 IKK - Barrier-free town (KfW)

#### What is funding available for?

Barrier-free or low barrier conversion of towns and boroughs with all the necessary ancillary work including consultancy and planning services:

- ▶ public buildings and public spaces, e.g. lifts, ramps, door openers, communication systems, lighting, wider spaces for movement, non-slip floor surfaces, lowered pavements, control and orientation aids
- transport (underground and local train stations, overpasses, underpasses)

#### Who can claim the funding?

- ▶ Municipal authorities and their legally dependent, in-house operations
- ▶ Municipal associations (e.g. special purpose municipal associations) which have a risk weighting by the credit risk standard model of zero in accordance with the regulation governing the regulatory requirements of banks and investment firms

#### How is the funding provided?

Long-term loan with no maximum amount, funding share up to 100% of eligible investment costs.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### For additional information:

KfW banking group Info Centre for municipalities:

#### 3.9 IKU - Barrier-free town (KfW)

#### What is funding available for?

Barrier-free or low barrier conversion of towns and boroughs with all the necessary ancillary work including consultancy and planning services:

- public buildings and public spaces, e.g. lifts, ramps, door openers, communication systems, lighting, wider spaces for movement, non-slip floor surfaces, lowered pavements, control and orientation aids
- transport (underground and local train stations, overpasses, underpasses).

#### Who can claim the funding?

- ► Companies with a background of predominantly municipal partners
- Companies with consolidated sales not exceeding EUR 500 m as part of publicprivate partnerships
- ► Charitable organisations and churches

#### How is the funding provided?

Long-term loan of up to EUR 50 m per project. Funding proportion up to 100% of eligible investment costs.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

## 4 Technology and innovation

## **4.1 Funding for research, development and innovation in Mecklenburg-Vorpommern**

#### What is funding available for?

- ► Research and development projects (single companies and cooperative projects)
- ► Feasibility studies
- ► Registration of property rights
- ▶ Innovation consultancy services and services in support of innovation
- Process innovations

#### Who can claim the funding?

Small and medium-sized enterprises in accordance with the definition of SMEs¹ contained in EU Regulation No. 651/2014 and large enterprises that have a facility or branch in Mecklenburg-Vorpommern, as well as research facilities from Mecklenburg-Vorpommern whose assignments lie in activities conforming to the research categories defined in (EU) Regulation No. 651/2014 regarding aid for research, development and innovation, and which disseminate their results by means of teaching, publication or knowledge transfer.

#### How is the funding provided?

Dedicated project funding is provided to finance a share of the project through a grant in the form of a non-repayable subsidy.

There is no legal entitlement to the funding.

#### **Application / contact:**

Submission of a formal application before the start of the project to TBI Technologie-Beratungs-Institut GmbH (www.tbi-mv.de).

TBI Technologie-Beratungs-Institut GmbH www.tbi-mv.de

## Support measures

#### **Head Office Schwerin**

Hagenower Straße 73 19061 Schwerin

#### Rostock office

Joachim-Jungius-Straße 9 18059 Rostock

### Neubrandenburg office

Lindenstraße 63 17033 Neubrandenburg

#### Greifswald office

Brandteichstraße 20 17489 Greifswald Tel.: +49 385 3993-165 Email: info@tbi-mv.de

Tel.: +49 381 4444-069 Email: rostock@tbi-mv.de

Tel.: +49 395 3581-172

Email: neubrandenburg@tbi-mv.de

Tel.: +49 3834 550-302 Email: greifswald@tbi-mv.de

## 4.2 Funding for start-ups for graduates through subsidies towards cost of living (founder grant) in Mecklenburg-Vorpommern

#### What is funding available for?

Cost of living assistance paid to business founders for innovative, technologyoriented, knowledge-based start-ups from universities, research facilities, technology centres and companies.

#### Who can claim the funding?

Natural persons who wish to become self-employed by setting up a new business or whose start-up is no older than twelve months. In particular, this includes university graduates and scientific employees as defined by Section 66 of the University Act for Mecklenburg-Vorpommern (HSchulG MV) as long as their degree was obtained or their last employment relationship subject to social security contributions at a university or research facility held no more than five years previously.

Also persons who have completed vocational training and can show relevant professional experience of at least three years as long as the object of the start-up meets the a.m. conditions.

#### How is the funding provided?

For a maximum period of 18 months and the company set-up must be completed within a period of 12 months. The level of the personal subsidy is based on the degree held by the founder:

- ► Founders with a university degree receive EUR 1,200 per month.
- ► Founders with a PhD degree receive EUR 1,400 per month.
- ▶ An amount of EUR 100 is paid per child per month as a children's allowance for each child whom the founder supports.

There is no legal entitlement to the funding.

#### **Application / contact:**

The formal business concept must be submitted to GSA Gesellschaft für Strukturund Arbeitsmarktentwicklung. A prerequisite of any subsequent application is the result of a vote by a specialist jury on the business concept.

#### Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH (GSA)

Schulstraße 1-3 19055 Schwerin

Tel.: +49 385 55775-0 Email: info@gsa-schwerin.de www.gsa-schwerin.de

Postal address:

Postfach: 111117 19011 Schwerin

#### 4.3 Risk capital for young innovative companies and business founders

#### What is funding available for?

Funding is available for young technology companies in their initial stages of development (seed, start-up and initial expansion phase). All costs from research and development to the market launch of innovative products, processes or technical services, qualify for funding. As well as venture capital, the entrepreneurs receive qualified management support.

#### Who can claim the funding?

Young, innovative and growth-oriented micro and small enterprises as per the EU SME definition<sup>1</sup>, constituted in the legal form of a corporation based or with their principal facilities in Mecklenburg-Vorpommern, which are not yet operating in the market (seed phase) or which have not been operating for more than seven years (start-up phase). In the foreseeable future, the target companies are to develop products, services or processes which meet a recognisable need in the market and in themselves bear the risk of technical or industrial failure. Companies may only be supported if they will probably contribute to the creation of value in Mecklenburg-Vorpommern within a foreseeable period of time.

#### How is the funding provided?

The fund provides venture capital of up to EUR 1.5 m either on an exclusively active basis (minority stake) or in a combination of active and silent partnership; in coinvestment with private investors.

The exact terms of the investment are regulated by means of individual contracts. A clear commitment is expected from the founders of the business.

The fund is under no legal obligation to take a stake in the company.

#### **Application / contact:**

Submission of concept outline / business plan to GENIUS Venture Capital GmbH is considered as an application.

Further pre-commencement clauses and provisions can be obtained from:

#### **GENIUS Venture Capital GmbH**

Hagenower Straße 73 19061 Schwerin

Tel.: +49 385 3993-500 Email: info@genius-vc.de www.genius-vc.de

www.technologiefonds-mv.de

#### 4.4 ERP Innovation programme (KfW)

#### What is funding available for?

Market-led research and development for new products, production processes or services as well as their market launch.

#### Who can claim the funding?

Private companies in the commercial sector and self-employed individuals which/ who have been operating in the market for at least two years and are implementing an innovative project in Germany through their own innovative contribution or intend to participate in such a project.

#### How is the funding provided?

The programme will support you with a finance package consisting of a loan tranche and a subordinated loan tranche, although a pure loan with no subordinated component is also possible.

Up to 100% of the development costs incurred by completion of the research and development phase, with a maximum term of 10 years and a maximum loan amount of EUR 5 m per project.

Small enterprises are eligible for particularly favourable terms.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 4.5 Cross-sectional technologies

#### What is funding available for?

#### Individual measures

Replacement of individual systems or assemblies with highly efficient systems or assemblies with a net investment volume of EUR 2,000 to 30,000 per applicant in the following cross-sectional technologies:

- electric motors and drives,
- pumps,
- ▶ fans and systems for heat recovery in air conditioning systems and
- ▶ compressed air systems as well as systems for heat recovery in air compressors.

#### Systemic optimisations

Replacement and renovation of at least two cross-sectional technologies as well as the technical systems in which they are integrated, from a net investment volume of EUR 30,000. As part of the systemic optimisation, investments for renovating lighting systems, investment in heat recovery or waste heat plants as well as investments for insulating pipes, pumps and valves can also attract funding.

#### Who can claim the funding?

Small and medium-sized enterprises (SMEs) in accordance with the EU definition of SMEs<sup>1</sup> as well as other companies with up to 500 employees and annual sales of max. EUR 100 m are entitled to submit applications.

Energy providers of comparable size are entitled to submit applications if they provide or carry out the specified energy efficiency measures as well as other energy services for an eligible company, and in the process bear the financial risk to a certain degree.

#### How is the funding provided?

Funding is provided in the form of a subsidy as de minimis aid. The level of the de minimis funding is set at:

- ▶ up to 30% of the eligible costs for individual measures for small and mediumsized enterprises and 20% for other companies and
- ▶ up to 30% of eligible costs, but up to a maximum of EUR 100,000 per applicant, for systemic optimisations depending on the size of the company and the final energy saving.

In addition, the external energy consultancy required for a systemic optimisation can be subsidised to the amount of 60% of the eligible consultancy costs, but not higher than EUR 3,000. The installation of the required measurement technology can be subsidised accordingly.

There is no legal entitlement to the funding.

#### Application / contact:

Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Cross-sectional technologies Frankfurter Straße 29-35 65760 Eschborn Tel.: +49 6196 908-1883

Email: poststelle@bafa.bund.de

www.bafa.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

4.6 Granting equity investments to fund operational research and development in micro, small and medium-sized enterprises in Mecklenburg-Vorpommern out of funds of the European Regional Development Fund and Mittelständische Beteiligungsgesellschaft Mecklenburg-Vorpommern mbH (MBMV) - Beteiligungs-Fonds-Innovation Mecklenburg-Vorpommern - (BFIMV) -

#### What is funding available for?

The object of the funding is to strengthen companies' market position and reinforce their business relations as well as to strengthen their capital market viability in all phases of the company as long as they are connected to the operational research and development projects to open up new fields of business.

The granting of equity investments is intended to counter the difficulties in funding innovations. The planned fund will improve access to equity and loans, thereby removing a central barrier to innovation and triggering additional innovative processes.

#### Who can claim the funding?

Recipients of the equity investments (final beneficiaries) are micro, small and medium-sized enterprises (SMEs) as per the EU definition<sup>1</sup> from Mecklenburg-Vorpommern which are already operating in the market. Certain sectors of industry are excluded from funding as a matter of principle.

#### How is the funding provided?

Equity investments can be granted on the following terms: Funded share: max. EUR 400,000

Minimum amount: EUR 50,000

Disbursement: 100%

Interest rate: depending on credit rating / risk between 7%

and 12% p.a. fixed fee and between 1.5% and 3% p.a. profit share; relative to the investment

amount in each case

Term: max. 10 years

Repayments: The silent partnership is repaid at its face

value on maturity; it can be repaid in instalments from the 6th year onwards.

If the investment is repaid before maturity, a surcharge amounting to 4% of the contribution must be paid for every incomplete investment year of the fixed contractual term.

Kicker:

A fee (in percent or in EUR) can also be agreed for the investments extended which is to be paid in addition to the repayment of the investment ("kicker") if the company is successfully sold during the term of the investment (e.g. sale, flotation). This fee is credited to the fund's assets and is shown separately.

Collateral: None

The underlying conditions of the project are to be substantiated by documenting the technical and market prospects of success for the research and development project. The innovative approach and novelty of the project as well as the company's competence to execute the project must also be demonstrated.

The measure must make business sense. Investments may only be made if their repayment can be expected if the business proceeds normally (repayment forecast), or proof of concept is probably achievable within three years.

The overall funding of the project must be clearly demonstrated by the applicant on the basis of appropriate documentation.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to Mittelständische Beteiligungsgesellschaft Mecklenburg-Vorpommern mbH which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Mittelständische Beteiligungsgesellschaft Mecklenburg-Vorpommern mbH

Ludwig-Bölkow-Haus Graf-Schack-Allee 12 19053 Schwerin

Tel.: +49 385 39555-0 Email: info@mbm-v.de www.mbm-v.de

Postal address:

PO box: 160155 19091 Schwerin

#### 4.7 Federal funding for research and innovation

#### What is funding available for and how is it provided?

As part of its specialist programmes, the federal government provides funding for base technologies for developments in central fields of application which act as growth drivers in many sectors. In addition, small and medium-sized enterprises (SMEs)<sup>1</sup> are supported through special, technology-neutral funding programmes.

The funding is usually based on funding programmes in conjunction with funding quidelines that are published in the Federal Gazette.

There is no legal entitlement to the funding.

#### Who can claim the funding?

Companies, small and medium-sized enterprises (SMEs)<sup>1</sup>, research facilities and universities

#### **Application / contact:**

The federal government's funding advisory service, "Research and Innovation", is the first point of contact for all questions relating to federal funding for research and innovation. Its information and advice offers are aimed in particular at "funding novices" in companies, research facilities and universities.

It informs potential applicants about the federal research structure, funding programmes and their points of contact as well as current funding priorities and initiatives.

#### Federal "Research and Innovation" funding advisory service Promoter Jülich

Forschungszentrum Jülich GmbH

Zimmerstraße 26 - 27

10969 Berlin

Tel.: 0800 2623-008 or 009

Email: beratung@foerderinfo.bund.de

www.foerderinfo.bund.de

#### 4.7.1 Central Innovation Programme for medium-sized enterprises (ZIM)

#### What is funding available for?

The Central Innovation Programme for small and medium-sized enterprises (ZIM) run by the Federal Ministry for Economic Affairs and Energy (BMWi) is a nationwide, technology and sector-neutral funding programme for small and medium-sized enterprises and business-oriented research facilities collaborating with them.

The purpose of ZIM is to provide sustainable support for the innovative energy and competitiveness shown by companies including manual trades and freelance professionals working as entrepreneurs, and thereby to make a contribution towards their growth and to create and secure jobs in the process. The focus here is on the following projects:

- Individual ZIM projects
  - single company R&D activities for the development of innovative products, processes or technical services
- ➤ ZIM collaboration projects
  - R&D collaborative projects between companies and by companies with research facilities
- ZIM collaboration networks
  - Funding for the network management services and development projects of a network
- ► Market launch services as part of the implementation of R&D projects

#### Who can claim the funding?

Funding is available to small and medium-sized enterprises with

- ▶ fewer than 250 employees and
- annual sales of not more than EUR 50 m or an annual balance sheet total of max. EUR 43 m.

Further small and medium-sized enterprises are companies with fewer than 500 employees with either annual sales of less than EUR 50 m or an annual balance sheet total of max. EUR 43 m.

#### How is the funding provided?

The funding is provided as a non-refundable subsidy to fund a share of the project in relation to the eligible costs (personnel costs, cost of project-related orders to third parties, cost of R&D orders to scientifically qualified third parties and other costs). For companies, the eligible costs are limited to EUR 380,000 per project (per sub-project for collaborations); for research facilities, the eligible costs are limited to EUR 190,000 per sub-project.

The funding rates depend on the size of the company and nature of the project; research facilities receive a funding rate of 100%. Funding for network management is progressively reduced. The maximum grant is EUR 380,000 although no more than EUR 160,000 can be allocated to Phase 1.

Market launch services can only be used by small and medium-sized enterprises whose R&D projects have been approved; companies with more than 250 employees are not entitled to apply. Costs for innovation advice services and services designed to support innovation are eligible for funding up to max. EUR 50,000. The maximum funding rate is 50%.

There is no legal entitlement to the funding.

#### **Application / contact:**

Application forms are available on the internet at www.zim-bmwi.de or in paper form from the promoters of the project, AiF Projekt GmbH, EuroNorm GmbH and VDI/VDE Innovation + Technik GmbH. Applications can be submitted to the promoters on a continual basis who will also provide information on further pre-commencement clauses and provisions specific to the programme.

### Promoters **ZIM collaboration projects:**

AiF Projekt GmbH, Berlin Office

Tschaikowskistraße 49 13156 Berlin

13130 Deriiii

Tel.: +49 30 48163-451

Email: zim@aif-projekt-gmbh.de

### Promoters **ZIM individual projects:**

**EuroNorm GmbH** 

Stralauer Platz 34 10243 Berlin

Tel.: +49 30 97003-043 Email: zim@euronorm.de

### Promoters **ZIM collaboration networks:**

VDI/VDE Innovation+Technik GmbH

Steinplatz 1 10623 Berlin

Tel.: +49 30 310078-380

Email: zim-netzwerke@vdivde-it.de

#### 4.7.2 Funding initiative SME<sup>1</sup> Innovative

With its funding initiative, SME¹ Innovative, the Federal Ministry for Education and Research (BMBF) is opening up a series of its specialist programmes for cutting-edge research projects in small and medium-sized enterprises in the following fields of technology, regardless of the subject:

- biotechnology
- materials research
- ▶ information and communication technologies
- photonics
- ► production technologies
- resource and energy efficiency technologies
- medical technology
- research for civil security

#### What is funding available for?

Priority is given to industrial research projects with high potential for market implementation.

#### Who can claim the funding?

Small and medium-sized enterprises as per the EU definition of SMEs<sup>1</sup> which are conducting research.

Research facilities, universities and large enterprises can also apply in a network.

#### How is the funding provided?

Funding is provided as a non-repayable subsidy; the funding rate is max. 50%; small and medium-sized enterprises can obtain a bonus of 10%.

There is no legal entitlement to the funding.

#### Application / contact:

There is a two-stage funding procedure: First a project outline is submitted. The project proposals submitted are in competition with each other. Funding criteria are the excellence and degree of innovation of the projects as well as their chances of commercial exploitation. The outlines submitted are evaluated twice a year - as of 15 April and 15 October. The results of the evaluations are announced within two months. Applications can be submitted for the best project ideas.

## Guidance service for companies for the federal funding advisory service, "Research and Innovation"

Zimmerstraße 26 - 27 10969 Berlin

Tel.: 0800 2623-009

Email: lotse@kmu-innovativ.de www.kmu-innovativ.de

## 4.7.3 Innovative Regional Growth Cores, with growth core potential module

#### What is funding available for?

As part of its innovation initiative for states in former East Germany, "Enterprise Region", the Federal Ministry for Education and Research (BMBF) supports the establishment of regionally organised, sustainable processes which generate and implement ideas for technical/technological innovations. Funding is available for alliances of companies and research facilities in two modules:

- ▶ Basic growth cores module: Funding for research, development and education projects from regional, entrepreneurial alliances which already have outstanding common core skills with a clear thematic focus (technologies or processes with certain USPs). The funding is not restricted to certain technologies or sectors.
- ▶ Potential growth cores module: Funding for the transfer of research results with high innovation potential from public research facilities to regional companies, and the establishment of a regional technology platform.

#### Who can claim the funding?

- ▶ Basic growth cores module: Companies in the commercial sector as well as universities and extramural research and education facilities which are based within a common region.
- ▶ Potential growth cores module: Universities, public research facilities as well as small and medium-sized enterprises in accordance with the EU definition of SMEs¹ in states in former East Germany which are based within a common region (geographical distance approx. 50 km).

#### How is the funding provided?

Funding is provided in the form of a subsidy; the level of the subsidy depends on the project.

There is no legal entitlement to the funding.

#### **Application / contact:**

The selection process for both modules is designed to be open to all subjects. Applications can be submitted at any time. There are no application deadlines.

The funding process is divided into several stages for the basic growth cores module, and in the first stage, an outline of the idea must be submitted. This is followed by an interview and assessment centre.

In the potential growth cores module, the process has a single stage. The first step is to submit a network concept (max. 10 pages).

### Forschungszentrum Jülich GmbH Promoter Jülich (PtJ)

Berlin Office Funding management Enterprise Region Zimmerstraße 26-27 10969 Berlin

Tel.: +49 30 20199-482

Email: wachstumskerne@unternehmen-region.de

www.unternehmen-region.de

#### 4.7.4 EXIST start-up grant

#### What is funding available for?

With the EXIST start-up grant, the Federal Ministry for Economic Affairs and Energy (BMWi) supports students, graduates and scientists from universities and extramural research facilities who would like to translate their start-up idea into a business plan. The start-up projects should be innovative, technology-oriented or knowledge-based projects (services) with good business prospects of success.

#### Who can claim the funding?

- ► Scientists from public, non-profit-oriented
  - > extramural research facilities
  - universities.
- University graduates and former scientists (up to five years since degree or departure).
- Students who at the time of their application have completed at least half of their degree course.
- ➤ Start-up teams of up to three persons. Teams mainly made up of students, will only receive funding in exceptional cases. One of the max. three team members can also receive funding with a vocational qualification as a technician, or the degree of one team member can have been obtained more than five years previously.

#### How is the funding provided?

Subsidy amounting to up to 100% of eligible expenditure. Funding is available for personnel expenses in the form of personal grants for up to three persons amounting to:

- ▶ founders with a PhD degree EUR 3,000 per month
- graduates with a university degree EUR 2,500 per month
- ► technicians EUR 2,000 per month
- ▶ students EUR 1,000 per month
- child allowance EUR 100 per month per child

Administrative expenditure can be accepted to a level of EUR 10,000 for start-ups by individuals and up to EUR 30,000 for team start-ups. A further EUR 5,000 can be granted for start-up-related coaching and start-up advice. The maximum duration of any funding is one year.

There is no legal entitlement to the funding.

#### **Application / contact:**

Universities or extramural research facilities submit a formal application before the start of the project in written and electronic form to the promoter of the project who will also provide information on further pre-commencement clauses and provisions specific to the programme. Applications can be made at any time.

#### Forschungszentrum Jülich GmbH Promoter Jülich (PtJ)

Berlin Office Zimmerstraße 26-27 10969 Berlin Tel.: +49 30 20199-461

Email: ptj-exist-gruenderstipendium@fz-juelich.de

http://www.exist.de/DE/Programm/Exist-Gruenderstipendium/inhalt.html /

#### 4.7.5 EXIST research transfer

#### What is funding available for?

With EXIST research transfer, the Federal Ministry for Economic Affairs and Energy (BMWi) supports outstanding, research-based start-up projects associated with complex and risky development work. EXIST research transfer consists of two funding phases. In the first funding phase, research results with the potential to form the basis of a company start-up, are to be taken further. The aim is ensure the basic technical feasibility of the product idea and to prepare the start-up of the company. In the second funding phase, the focus is on starting business operations and securing external follow-on funding for the company.

#### Who can claim the funding?

In funding phase I, funding is provided for research teams in universities and extramural research facilities (max. three scientists and technical assistants) and one person with business skills.

In funding phase II, the applicants are technology-oriented companies set up in the course of funding phase I.

#### How is the funding provided?

#### Funding phase I

- ▶ Personnel costs for a maximum of four positions can be funded as well as administrative costs of up to EUR 250,000. The administrative expenditure can include items of use, consumables, capital goods, property rights, market research as well as the placement of orders and commissioning of coaching measures.
- ➤ Start-up projects from non-university research facilities are funded by BMWi to a level of 90%. Funding phase I lasts 18 months. In individual cases, a term of 36 months can be applied for if the development project is highly innovative and can be shown to be particularly time-consuming.
- ► The "Start-up Team" seminar which has proved its worth in the EXIST start-up grant programme, is also held for EXIST research transfer projects.

#### Funding phase II

- ► A non-repayable subsidy of up to EUR 180,000 can be granted, but no more than 75% of the specific cost of the project.
- ➤ As a prerequisite of the funding, the company will contribute its own funds and if applicable equity capital at a ratio of 1:3 (EUR 60,000). Funding phase II lasts for a maximum of 18 months.

There is no legal entitlement to the funding.

#### **Application / contact:**

Two-stage funding procedure. In the first stage, outlines of the project are to be submitted to the promoter Jülich (PtJ) for funding phase I from 1 to 31 January and from 1 to 31 July. The application for funding in funding phase II can be presented 6 months before funding phase I finishes, as long as the start-up is to be pursued. The promoter Jülich (PtJ) will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Forschungszentrum Jülich GmbH Project promoter Jülich (PtJ) Berlin Office

Zimmerstraße 26-27 10969 Berlin

Tel.: +49 30 20199-3127

Email: ptj-exist-forschungstransfer@fz-juelich.de

http://www.exist.de/DE/Programm/Exist-Forschungstransfer/inhalt.html

## 4.7.6 WIPANO - knowledge and technology transfer through patents and Standards

#### What is funding available for?

Through WIPANO, the Federal Ministry for Economic Affairs and Energy (BMWi) supports the enhanced business exploitation of inventions from public research. This objective is to be achieved by funding projects to register and licence property rights (incl. patents), to refine inventions from public research and increase their marketability and to spread innovation through standards.

#### The three funding priorities are therefore:

- Support for registering patents (for SMEs¹ and public research facilities to provide support in registering patents),
- ▶ Public research refinement of inventions (for further development and to verify the technical feasibility of patented R&D results),
- ► Standardisation (translating research results to norms and standards in order to make them available for distribution).

#### Who can claim the funding?

Universities, public research facilities as well as small and medium-sized enterprises as per the EU definition of SMEs<sup>1</sup>.

#### How is the funding provided?

#### Support for patenting

Funding is provided in the form of a non-repayable subsidy. The level of the subsidy is a fixed amount for the majority of the service packages funded, but proportional funding is defined for some individual services. Service packages include a rough check of the registration of the invention, a detailed check as well as advice on registering your patent.

#### Public research - refinement of inventions

Funding is provided in the form of a non-repayable subsidy (project funding) designed as proportional funding (up to 70%). Maximum funding per project: EUR 84,000.

#### Standardisation

Funding is provided as a non-repayable subsidy in the form of proportional funding with the maximum amount per partner in the alliance and per project not to exceed EUR 200,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

#### Support with patenting and public research - refinement of inventions

Applications must be submitted in the electronic form system "easy-online" as well as in paper format. The funding period begins from 1 January 2016 and ends at the latest on 31 December 2019. Applications can be submitted at any time. There are no application deadlines.

#### Standardisation

The funding procedure is designed to be in two stages; in the first step, an outline of the project must be submitted in electronic and paper format, and if the evaluation is positive, this is followed by a full application. The outline can be submitted at any time. The term of the project should be between 6 and 36 months.

www.wipano.de

### 5 Guarantees and participations

### 5.1 Guarantees from Bürgschaftsbank Mecklenburg-Vorpommern GmbH

#### What is funding available for?

Collateralisation of loans up to EUR 1.563 m for projects, e.g. start-ups, takeovers, partnerships, investments, company relocations, warehouse facilities and order financing, working capital loans, guarantees.

#### Who can claim the funding?

Small and medium-sized enterprises in the commercial sector as per the EU definition of SMEs<sup>1</sup>, business founders, company successors and members of freelance professions.

#### How is the funding provided?

Guarantee of up to max. 80% of the loan.

There is no legal entitlement to the granting of a guarantee.

#### Application / contact:

Formal application before the start of the measures submitted by the beneficiary's house bank to Bürgschaftsbank MV which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Bürgschaftsbank Mecklenburg-Vorpommern GmbH

Ludwig-Bölkow-Haus Graf-Schack-Allee 12 19053 Schwerin Tel.: +49 385 39555-0

Email: info@bbm-v.de www.bbm-v.de

#### Postal address:

PO box: 160155 19091 Schwerin

#### 5.2 Default guarantees from the State of Mecklenburg-Vorpommern

#### What is funding available for?

Collateralisation of loans from a credit volume of EUR 1.5 m up to EUR 12.5 m for investment projects which deserve funding from a macro-economic perspective and also make sense in business terms; also for working capital loans including guarantees.

#### Who can claim the funding?

Commercial companies and other commercial facilities with business premises - as defined by Section 12 of the tax code - in Mecklenburg-Vorpommern. The funding requirement must relate to the business premises.

#### How is the funding provided?

Guarantee of up to max. 80% of the loan.

There is no legal entitlement to the granting of a guarantee.

#### **Application / contact:**

Formal application before the start of the measures submitted by the beneficiary's house bank to PricewaterhouseCoopers AG which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### PricewaterhouseCoopers AG Wirtschaftsprüfungsgesellschaft

Werderstraße 74 d 19055 Schwerin Tel.: +49 385 5924111 www.pwc.com/de

Postal address: Postfach: 11 03 33 19003 Schwerin

#### 5.3 Default guarantees from the federal government

#### What is funding available for?

Default guarantees with a total rate of up to 80% with parallel inclusion of regional states for new loans to be granted with a credit volume of EUR 12.5 m for investments, working capital and sureties. The default guarantee serves as a substitute for a lack of adequate loan collateralisation options. The project must be worth funding from a macro-economic and technical perspective. EU specifications regarding subsidy laws must be adhered to.

#### Who can claim the funding?

Commercial companies in former East Germany which implement projects in former East Germany and which are wholly or mainly privately owned. Companies must offer the guarantee of being able to service the guaranteed loans through appropriate equity contributions from their shareholders (viability of the project).

#### How is the funding provided?

The guarantees are only provided for projects not covered by guarantee programmes by the counter-guarantee banks and for which no sole State guarantee can be considered.

There is no legal entitlement to the granting of a guarantee.

#### **Application / contact:**

Informal applications must be submitted through PricewaterhouseCoopers AG, Berlin, before the project begins. PricewaterhouseCoopers AG, Berlin, will take responsibility for processing these applications and for administering the commitments during the term of the guarantees, and it will provide information on further provisions specific to the programme.

#### PricewaterhouseCoopers AG Wirtschaftsprüfungsgesellschaft

Potsdamer Platz 11 10785 Berlin

Tel.: +49 30 2636-1204 www.pwc.com/de

# 5.4 Participations by Mittelständischen Beteiligungsgesellschaft Mecklenburg-Vorpommern mbH

#### What is funding available for and how is it provided?

Improving the capital ratios of medium-sized businesses and manual trades in Mecklenburg-Vorpommern through silent partnerships to fund collaborations, innovation, restructurings in the event of structural change, set-ups and company successions, expansions, fundamental rationalisation or restructuring.

The participations can also be entered into in order to fund research and development work in Mecklenburg-Vorpommern (innovation stakes); these also include the production and testing of prototypes, developing modifications up to the market launch of technically new or significantly improved products, processes or technical services, investments for the market launch.

There is no legal entitlement to the participation.

#### Who can claim the funding?

Small and medium-sized enterprises in the commercial sector in accordance with the SME definition of the  ${\sf EU}^1$ .

#### **Application / contact:**

Further information/provisions specific to the programme from and applications to:

#### Mittelständische Beteiligungsgesellschaft Mecklenburg-Vorpommern mbH

Ludwig-Bölkow-Haus Graf-Schack-Allee 12 19053 Schwerin

Tel.: +49 385 39555-0 Email: info@mbm-v.de

www.mbm-v.de
Postal address:

PO box: 160155 19091 Schwerin

#### 5.5 ERP start-up fund (KfW)

#### What is funding available for?

Participation of the KfW banking group in innovative, small technology companies in the commercial sector. The participation is intended to cover the funding requirement for the development and launch of new or improved products, processes and services. The share of the development relating to the innovative core of the company's operations, must be contributed by the company itself.

#### Who can claim the funding?

Small technology companies in the commercial sector which meet the criteria for small enterprises as per the EU definition for SMEs<sup>1</sup> and are not more than 10 years old.

The companies providing the equity capital can be investment companies, natural persons or legal entities.

#### How is the funding provided?

KfW will take a stake in the technology company if a further equity provider participates as the lead investor to at least the same extent and helps to look after KfW's investment on the basis of a collaboration agreement.

The form of KfW's investment is based primarily on the lead investor's investment.

For a technology company, the investment is limited to EUR 5 m.

There is no legal entitlement to the participation.

#### **Application / contact:**

Formal application together with a declaration by the collaborating lead investor agreeing to take a stake itself before any participation contract is signed.

#### Information Centre of the KfW Banking Group

Tel.: 0800 539 9001 www.KfW.de/136

#### 5.6 High-tech start-up fund

#### What is funding available for?

Equity capital for young technology companies in Germany whose core business consists of a technological innovation.

The company's management is supported and looked after. Any investment presupposes promising research results, an innovative technological base and a market situation rich with opportunities. This early phase funding is supposed to take technology companies up to the production of a prototype or "proof of concept" or even up to the market launch.

#### Who can claim the funding?

Small, young, innovative technology companies in all sectors which have an R&D project at their core and which started their operational business no more than one year previously. The EU definition of SMEs<sup>1</sup> is used for small enterprises.

#### How is the funding provided?

Investment of up to EUR 500,000 in a combination of open investment and loan. The fund thereby acquires a 15% stake in the company (nominal, no valuation), and it grants a subordinated participating loan. The interest (10% p.a.) on the loan extended is deferred for a period of up to 4 years. The term of the loan agreement is 7 years. Support for operating and strategic corporate issues from experienced coaches.

There is no legal entitlement to the participation.

#### **Application / contact:**

The founding team together with a coach or network partner submits its business plan as well as an outline of the concept and a reference letter to the High-tech Start-up Fund. A decision is made on the next steps after the documentation has been evaluated and a personal interview conducted. After a positive decision, the founding team is offered a term sheet containing the investment terms of the High-tech Start-up Fund. Due diligence is initiated after the term sheet has been signed. If the result of due diligence is positive, an investment recommendation is made to one of the three investment committees of the High-tech Start-up Fund. The final decision on a funding commitment is taken after the founding team has given a presentation of the company to the investment committee.

Further information/provisions specific to the programme from and applications to:

#### High-Tech Gründerfonds Management GmbH

Schlegelstraße 2 53113 Bonn

Tel.: +49 228 82300-100

Email: info@high-tech-gruenderfonds.de www.high-tech-gruenderfonds.de

### **6 Agriculture**

#### 6.1 Knowledge transfer and information measures

#### What is funding available for?

- ▶ Vocational education projects (e.g. teaching courses, training courses, seminars),
- ▶ Projects for the acquisition of qualifications (e.g. supplementary qualifications in the area of technology, driving school training Class T for trainees, further education to become a forestry teacher or certified specialists for riding tourism) as well as
- ▶ demonstration projects, information measures, workshops and coaching.

The projects must not be part of the normal vocational training for agriculture and forestry.

#### Who can claim the funding?

Recognised further education establishments or recognised advisory services (see internet page of the Ministry for Agriculture, the Environment and Consumer Protection for Mecklenburg-Vorpommern). Participants are employees, entrepreneurs or trainees in agriculture and forestry, horticulture and domestic science whose place of work or main residence is in Mecklenburg-Vorpommern.

The minimum number of participants should always be 10. The minimum length of education measures is 8 x 45 minutes and 3 x 60 minutes for informational events.

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy. Educational measures:

- ▶ 70% for employees and entrepreneurs for travel and accommodation costs and the cost of teaching materials
- ▶ 90% for trainees and volunteers for travel and accommodation and the cost of teaching materials
- ▶ 100% for organisation and execution

#### Demonstration projects and other informational measures:

- ► EUR 500 minimum funding amount per application
- ▶ 100% of expenses unrelated to participants (e.g. fees, rental costs or stand-in costs)

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications by 1 March, 1 June, 15 September and 1 December of each year, but no later than four weeks before the start of the project.

# Landesamt für Landwirtschaft, Lebensmittelsicherheit und Fischerei Mecklenburg-Vorpommern

Thierfelderstraße 18 18059 Rostock

Tel.: +49 381 4035-0

Email: poststelle@lallf.mvnet.de

www.lallf.de

#### 6.2 Consultancy, management and replacement services

#### What is funding available for?

Single company consultancy projects as well as drawing up recommendations for action which serve the development of the rural area, and meet certain consultancy priorities (basic requirements of management or standards for good agricultural conditions, agricultural practices with relevance to climate and the environment, combating climate change, preserving biological diversity, maintaining genetic resources, water and soil protection, especially animal-friendly husbandry processes, diversification, organic farming).

#### The following consultancy priorities are also eligible for funding for organic farms:

- ▶ Improving processing and marketing structures as well as
- ▶ land management adapted to the market and the location.

#### Who can claim the funding?

Providers of consultancy services. A framework agreement will be concluded between consultancy providers and the State of Mecklenburg-Vorpommern in accordance with the procedure for awarding contracts.

Recipients of consultancy services are agricultural enterprises and producer combines (SMEs)<sup>1</sup> with the site of their operations in Mecklenburg-Vorpommern.

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ 60% 90% of eligible expenses depending on the advisory focus: fees, administrative and travel costs
- ▶ for initial consultation: 100% (apart from diversification), max. EUR 1,500
- max. three consultancy projects per year per agricultural enterprise

There is no legal entitlement to the funding.

#### Billing address/contacts:

Cost reimbursement on 31 March and 30 September of each year

# Landesamt für Landwirtschaft, Lebensmittelsicherheit und Fischerei Mecklenburg-Vorpommern

Thierfelderstraße 18 18059 Rostock

Tel.: +49 381 4035-0

Email: poststelle@lallf.mvnet.de

www.lallf.de

#### **6.3 Agricultural Investment Funding Programme (AFP)**

#### What is funding available for?

#### Investments in durable goods in connection with

- ▶ improving the overall performance and sustainability of the agricultural operation,
- ▶ the production, processing or direct marketing of Annex I products,
- ▶ the creation of productive structural or technical conditions which meet the special requirements in at least one of the areas of consumer protection, environmental protection, climate protection or animal protection.

#### There is limited funding available in the areas

- operational reference quantities
- ▶ fees for managing large investments and for
- ▶ investments in the fruit and vegetable sector.

#### Who can claim the funding?

SMEs¹ whose principal business consists in making vegetable or animal produce through land management or associated animal husbandry, and which reach or exceed the minimum size specified in the law governing pension schemes for farmers (including bee-keeping operations and migratory sheep-farming), or the company manages an agricultural operation and directly pursues religious, charitable or benevolent objectives.

#### Companies must meet the following conditions:

- evidence of professional capability to run a business
- anticipatory accounts for at least three years
- accounts for at least five years after approval
- presentation of investment concept or business plan in the case of collaborations
- ▶ additional conditions for projects with regard to start-ups, animal husbandry, young farmers, irrigation systems or pig production
- minimum investment EUR 20,000, max. eligible investment volume EUR 1.5 m

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy for expenses required for installing or modernising immovable assets, buying new machines and systems for indoor livestock, general expenses, e.g. for architectural or engineering services.

#### The subsidy amounts to:

- ▶ 20% of eligible expenses; 40% in the case of particularly animal-friendly husbandry and also:
  - ▶10% for collaborative projects and young farmers
  - ▶20% for projects forming part of the European Innovation Partnership
- ► 60% of eligible support fees

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications to be made by 31 August of each year

### Staatliches Amt für Landwirtschaft und Umwelt Westmecklenburg (StALU WM)

Bleicher Ufer 13 19053 Schwerin Tel.: +49 385 59586-0

Email: poststelle@staluwm.mv-regierung.de

www.stalu-mv.de

#### 6.4 Processing and marketing of agricultural products

#### What is funding available for?

Investments for recording, storing, refrigerating, sorting, treating, packaging, labelling, processing or marketing agricultural products as the establishment of new capacity or the expansion of existing capacity or internal rationalisation or modernisation.

#### Who can claim the funding?

- ▶ Producer combines (must be recognised on the basis of a business plan).
- ▶ A load factor of 40% of take-up capacity for the products funded must be evidenced for at least five years through supply contracts.
- Processing and marketing companies whose activities are not at the same time related to the production of agricultural produce.
- ► Micro, small, medium-sized and midcap enterprises.
- ► The minimum investment volume is EUR 100,000; EUR 20,000 for micro and small enterprises.
- ▶ The feasibility of the project and the improved use of resources must be demonstrated.
- ▶ Investment projects of operational groups as part of the EIP (European Innovation Partnership) by 31 December 2018; the operational group must show that it has been recognised.

#### How is the funding provided?

#### Project funding by way of proportional funding

- ▶ up to 35% for producer combines, up to 25% for SMEs¹ and up to 20% for midcaps
- ▶ up to 55% for projects as part of operational groups
- ▶ up to 20% for the processing of Non-Annex I products for micro and small enterprises and up to 10% for medium-sized enterprises

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications can be made all year round; selections are made on 31 March and 31 August.

# Staatliches Amt für Landwirtschaft und Umwelt Westmecklenburg (StALU WM)

Bleicher Ufer 13 19053 Schwerin Tel.: +49 385 59586-0

Email: poststelle@staluwm.mv-regierung.de

www.stalu-mv.de

#### 6.5 Diversification

#### What is funding available for?

Investments for creating additional sources of income in rural areas; there is limited funding available for investments in the areas of "holidays on the farm", short rotation plantations (KUP) and distilleries. The minimum investment volume is EUR 10,000; EUR 7,500 for short rotation plantations.

#### There is funding available for:

- expenses required for installing or modernising immovable assets,
- ▶ first-time procurement of new machines and systems as well as
- general expenses, e.g. for architectural, engineering and consultancy services.

There must be an investment concept including an assessment of the improvement in the company's profitability as a result of carrying out the measures.

#### Who can claim the funding?

- ➤ SMEs¹ whose principal business consists in making vegetable or animal produce through land management or associated animal husbandry (including beekeeping operations and migratory sheep-farming), and which reach or exceed the minimum size specified in the law governing pension schemes for farmers.
- ► Companies which run an agricultural business and directly pursue religious, charitable or benevolent objectives.
- ▶ Owners of individual agricultural companies whose spouse or family members working with them are setting up or developing an independent business for the first time in accordance with the law governing pension schemes for farmers.
- ► Alliances

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ up to 25% of eligible expenses, an additional 10% for collaborations
- ▶ up to 40% for short rotation plantations, max. one-time amount of EUR 1,200
- max. total value of subsidies EUR 200,000 with respect to a period of three tax years (de minimis)

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications by 31 August of each year (funding for short-term rotation plantations limited to

31 December 2018).

# Staatliches Amt für Landwirtschaft und Umwelt Westmecklenburg (StALU WM)

Bleicher Ufer 13 19053 Schwerin

Tel.: +49 385 59586-0

Email: poststelle@staluwm.mv-regierung.de

www.stalu-mv.de

### 6.6 Funding for start-ups and the development of micro-businesses in rural areas

#### What is funding available for?

Investments for creating and developing non-agricultural activities as the setting up or expansion of an operating facility. The facility must lie outside of large and medium-sized conurbations, and the majority of sales must be generated in the local market within a circumference of 50 km. Funding is available for the expenditure required to procure or produce fixed assets.

Evidence of special efforts must also be produced, evidenced by a particularly high investment volume or a significant number of new jobs.

The ability to run a business must also be demonstrated and a business plan presented.

#### Who can claim the funding?

#### SMEs1

- ▶ in manufacturing industry with the exception of the processing of agricultural, forestry, aquacultural and fishing products,
- ▶ in the trades listed in Annexes A and B of the Trade and Crafts Code with the exception of the construction industry,
- ▶ in the service sector, in particular services of general economic interest,
- ▶ in the tourism sector to increase the attractiveness of rural areas for tourism.

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ up to 30% of eligible expenses; up to 35% for start-ups
- ▶ minimum amount for eligible expenses is EUR 10.000
- max. total value of subsidies EUR 200,000 with respect to a period of three tax years (de minimis)

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications by 31 March or 30 September of each year.

### Staatliches Amt für Landwirtschaft und Umwelt Westmecklenburg (StALU WM)

Bleicher Ufer 13 19053 Schwerin

Tel.: +49 385 59586-253

Email: poststelle@staluwm.mv-regierung.de

www.stalu-mv.de

### Integrated Rural Development (ILE) 6.7 Land consolidation and reparcelling

#### What is funding available for?

Rearrangement of rural land tenure and design of rural areas in processes pursuant to the Land Consolidation Act (FlurbG) and Sections 53 to 64b of the Agricultural Adjustment Act (LwAnpG) including measures to secure a sustainably powerful ecosystem.

The project must serve the implementation of the Integrated Rural Development Concept or be part of the local development planning within a process under the Land Consolidation Act or Sections 53 to 64b of the Agricultural Adjustment Act. Projects in processes ordered in accordance with the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act as well as preliminary work are eligible for funding.

#### Who can claim the funding?

- ▶ Individual parties under Section 10 of the Land Consolidation Act
- ➤ Stakeholder communities under Section 16 of the Land Consolidation Act and any associations of the same
- ► Water and soil associations
- ➤ Exchange partners for the voluntary exchange of land under the Land Consolidation Act or Section 54 of the Agricultural Adjustment Act

#### How is the funding provided?

#### Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ for procedures under the Land Consolidation Act:
  - ⊳up to 85% or up to 90% if the land consolidation procedure has particularly ecological objectives as long as the particular project serves to implement an Integrated Rural Development Concept, otherwise
  - >up to 75% or up to 80% of the eligible implementation costs under Section 105 of the Land Consolidation Act if the land consolidation procedure has particularly ecological objectives
- ▶ up to 90% of eligible implementation costs under Section 105 of the Land Consolidation Act in procedures pursuant to Sections 53 to 64b of the Agricultural Adjustment Act The funding for investments is at least EUR 5,000.

There is no legal entitlement to the funding.

#### Application / contact:

Applications all the year round; selection based on applying project selection criteria among all applications received by 31 October of any particular year which are eligible for approval.

➤ within the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act, the local reparcelling authority responsible for processing the case

#### State Agency for Agriculture and the Environment

▶ outside the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act

District Administrator of the district in which the project is to be carried out

#### 6.8 Infrastructure measures adapted to the rural character

#### What is funding available for?

Investments in the infrastructure adapted to the rural character, e.g. country paths, connecting paths between communities and roads which also serve an agricultural purpose, as well as bridges, passages or similar structures including any architectural and engineering services required. The maximum investment volume is EUR 2.5 m.

The project must serve the implementation of the Integrated Rural Development Concept or be part of the local development planning within a process under the Land Consolidation Act or Sections 53 to 64b of the Agricultural Adjustment Act.

#### Who can claim the funding?

▶ Boroughs or associations of boroughs

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ up to 75% objectives as long as the particular project serves to implement an Integrated Rural Development Concept, otherwise
- ▶ up to 65% of eligible costs

The funding for investments is at least EUR 5,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications all the year round; selection based on applying project selection criteria among all applications received by 31 October of any particular year which are eligible for approval.

▶ within the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act, the local reparcelling authority responsible for processing the case

#### State Agency for Agriculture and the Environment

 outside the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act

District Administrator of the district in which the project is to be carried out

#### 6.9 Village renewal and development, leisure time and culture

#### What is funding available for?

Investments including any architectural and engineering services needed in

- ▶ buildings of historical character with a traditional background for the village or with a particularly distinctive influence on the character of the location,
- community, village-like facilities such as village community centres and community halls, local history centres, or meeting places,
- ▶ public leisure and cultural facilities such as club houses and
- ▶ leisure time meeting points for all generations, playgrounds and amateur football fields, bathing areas including the associated sanitary facilities.

The maximum investment volume is EUR 2.5 m.

The project must serve the implementation of the Integrated Rural Development Concept or be part of the local development planning within a process under the Land Consolidation Act or Sections 53 to 64b of the Agricultural Adjustment Act.

#### Who can claim the funding?

- ► Boroughs or associations of boroughs
- ► Stakeholder communities under Section 16 of the Land Consolidation Act and any associations of the same
- ► Other public corporations
- Natural persons and partnerships as well as legal entities under private law

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ up to 45% for private and up to 50% for other responsible bodies as long as the particular project serves the implementation of an Integrated Rural Development Concept, otherwise
- ▶ up to 35% for private or up to 40% of eligible costs for other responsible bodies for public leisure and cultural facilities:
- ▶ up to 90% for boroughs and borough associations, stakeholder communities and associations of the same as long as the particular project serves the implementation of an Integrated Rural Development Concept, otherwise up to 80% of eligible costs
- ▶ up to 50% for other responsible bodies as long as the particular project serves the implementation of an Integrated Rural Development Concept, otherwise up to 40% of eligible costs

The funding for investments is at least EUR 5,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications all the year round; selection based on applying project selection criteria among all applications received by 31 October of any particular year which are eligible for approval.

➤ within the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act, the local reparcelling authority responsible for processing the case

#### State Agency for Agriculture and the Environment

▶ outside the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act

District Administrator of the district in which the project is to be carried out

#### 6.10 Base services for essentials

#### What is funding available for?

Investments including any architectural and engineering services required for installations, expansion and modernisation with regard to

- fixed and mobile local facilities or offers including preliminary examinations of their profitability
  - **fixed**: building work on building, purchase of initial equipment, modernisation of interior design, installation of associated outdoor facilities
  - **mobile:** purchase of new vehicles, building work on base station, purchase of initial equipment or modernisation of interior design of base station
- ▶ Building work to create the spatial conditions for medical practices or other medical services
- ▶ Refurbishment, conversion and expansion of children's day-care facilities as well as the construction of new ones and schools providing general education

The maximum investment volume is EUR 5 m.

The project must serve the implementation of the Integrated Rural Development Concept or be part of the local development planning within a process under the Land Consolidation Act or Sections 53 to 64b of the Agricultural Adjustment Act. In addition, any facilities or offers of local amenities must demonstrably comply with the "New Mecklenburg-Vorpommern Village Centre" initiative as well as be suitable for permanent business. Projects in connection with children's care facilities and schools must be carried out in consultation with the local youth welfare or school development planning process.

#### Who can claim the funding?

- ► Boroughs or associations of boroughs
- ► Stakeholder communities under Section 16 of the Land Consolidation Act and any associations of the same
- ► Other public corporations
- Natural persons and partnerships as well as legal entities under private law

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ up to 100% for preliminary examinations
- ▶ up to 100% as long as the particular project serves the implementation of an Integrated Rural Development Concept, otherwise up to 90% of eligible costs

The funding for investments is at least EUR 5,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications all the year round; selection based on applying project selection criteria among all applications received by 31 October of any particular year which are eligible for approval.

▶ within the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act, the local reparcelling authority responsible for processing the case

#### State Agency for Agriculture and the Environment

▶ outside the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act

District Administrator of the district in which the project is to be carried out

#### 6.11 Small tourism infrastructure facilities

#### What is funding available for?

Investments including any architectural and engineering services required for installations, expansion and modernisation with regard to

- ► Exhibition centres, museums or other buildings designed for public use and intended to provide tourism services outside of key tourism areas
- Guided tours for tourists including associated stopping off points and facilities for providing visitor information and control

The maximum investment volume is EUR 2.5 m.

The project must serve the implementation of the Integrated Rural Development Concept or be part of the local development planning within a process under the Land Consolidation Act or Sections 53 to 64b of the Agricultural Adjustment Act.

#### Who can claim the funding?

- ► Boroughs or associations of boroughs
- ► Stakeholder communities under Section 16 of the Land Consolidation Act and any associations of the same
- Natural persons and partnerships as well as legal entities under private law

#### How is the funding provided?

Project funding in the form of proportional funding as a non-repayable subsidy.

- ▶ up to 90% for public and up to 50% for private responsible bodies as long as the particular project serves the implementation of an Integrated Rural Development Concept, otherwise
- ▶ up to 80% for public or up to 40% of eligible costs for private responsible bodies

The funding for investments is at least EUR 5,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications all the year round; selection based on applying project selection criteria among all applications received by 31 October of any particular year which are eligible for approval.

▶ within the regions for procedures under the Land Consolidation Act and Sections 53 to 64b of the Agricultural Adjustment Act, the local reparcelling authority responsible for processing the case

#### State Agency for Agriculture and the Environment

outside the regions for procedures under the Land Consolidation Act and Sections
 53 to 64b of the Agricultural Adjustment Act

District Administrator of the district in which the project is to be carried out

### 7 Consultancy/Training

### 7.1 Consultancy for small and medium-sized enterprises in Mecklenburg-Vorpommern

#### What is funding available for?

#### There is funding for consultancy services:

- for financing and securing liquidity in SMEs<sup>1</sup>,
- for optimising production processes including enhancing the efficiency of resources.
- for optimising energy efficiency,
- ▶ for planning and implementing investments in the event of growth spurts.
- ► for preparing the launch of products, technologies and services in transregional, especially foreign markets,
- ▶ for preparing a company succession.

#### Who can claim the funding?

Small and medium-sized enterprises (SMEs)¹ from manufacturing industry (including the processing of waste to form secondary raw materials and the recovery of recyclable materials from waste), from the retail trade, manual trades, tourism and catering trade, service sector, transport industry and members of freelance professions, as long as they meet the EU definition for SMEs¹ at the time of the approval. Funding recipients should have at least four full-time employees subject to social security contributions at the time of the approval and have been operating in the market for at least two full calendar years. Freelance professions are excluded from funding.

#### How is the funding provided?

A subsidy can be paid of up to 50% of the eligible costs of the advisory services of external consultants for companies (max. 15 working days to be billed, daily rate max. EUR 900 net). The funding can only be granted if the object of the consultancy is so complex that funding from the federal government is insufficient as it is limited to five consulting days.

There is no legal entitlement to the funding.

#### Application / contact:

Formal application before the start of the measures submitted to GSA - Gesellschaft für Struktur- und Arbeitsmarktentwicklung which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### GSA Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH (GSA)

Schulstraße 1-3 19055 Schwerin

Tel.: +49 385 557755-0 Email: info@gsa-schwerin.de www.gsa-schwerin.de Postal address:

Postfach: 11 11 17 19011 Schwerin

#### 7.2 Energy consultancy for medium-sized enterprises

#### What is funding available for?

The energy consultancy is intended to identify economically viable potential for energy efficiency in the areas of buildings and systems as well as in usage behaviour. The aim of this programme is to advance the number of energy consultations held in SMEs<sup>1</sup> and thereby to increase the existing potential for energy savings. In addition, the intention is for energy consultants to support the realisation of the savings potential identified all the way to the commissioning of action plans, in order to further increase the realisation rate.

#### Who can claim the funding?

Small and medium-sized enterprises in the commercial sector and other service industries are entitled to submit applications, as are members of freelance professions based and operating in Germany who have fewer than 250 employees and annual sales of not more than EUR 50 m or an annual balance sheet total of not more than EUR 43 m.

#### How is the funding provided?

For companies with annual energy costs of over EUR 10,000, the funding amounts to 80% of the eligible consultancy costs including any implementation consultancy taken advantage of, but no more than EUR 8,000.

For companies with annual energy costs of up to EUR 10,000, the funding amounts to 80% of the eligible consultancy costs including any implementation consultancy taken advantage of, but no more than EUR 800.

There is no legal entitlement to the funding.

#### Recognised energy consultants / consultancy report:

The energy consultancy or support for implementation can only be funded if it is conducted with energy consultants certified by BAFA. It is the responsibility of the company submitting the application to select the consultant.

#### Contact:

### Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Unit 526

Frankfurter Straße 29 - 35

65760 Eschborn

Tel.: +49 6196 908-1240

Email: poststelle@bafa.bund.de

www.bafa.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

#### 7.3 Funding of consultancy for Energy Performance Contracting

#### What is funding available for?

There is funding available for orientation counselling and either implementation or tender consultancy which must be conducted in each case by a project developer certified by BAFA.

The orientation counselling serves as an initial analysis of the properties with regard to their basic suitability for energy performance contracting.

As part of the implementation consulting process, the project developer advises on the specific implementation of an energy performance contracting project while for tender consultancy he supports the tendering process as part of the procedure for awarding other contracting projects.

#### Who can claim the funding?

Municipalities (towns, boroughs, districts) are entitled to submit applications as well as companies and facilities mainly in municipal ownership, charitable organisations, recognised religious communities and small and medium-sized enterprises (SMEs)<sup>1</sup>.

#### How is the funding provided?

- Orientation counselling: 80% of eligible consulting expenses (net consultant's fee), max. EUR 2,000
- ► Implementation counselling: 50% of eligible consulting expenses (net consultant's fee), max. EUR 12,500
- ► For small and medium-sized enterprises (SMEs)¹: 30% of eligible consulting expenses (net consultant's fee), max. EUR 7,500
- ➤ Tender counselling: 30% of eligible consulting expenses (net consultant's fee), max. EUR 2,000

There is no legal entitlement to the funding.

#### **Contact:**

# Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Unit 511 - Energy Performance Contracting Frankfurter Straße 29-35 65760 Eschborn Tel.: +49 6196 908-2553 Email: poststelle@bafa.bund.de

www.bafa.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

# 7.4 Federal Ministry for Economic Affairs and Energy (BMWi) innovation vouchers (go-inno)

#### What is funding available for?

The Federal Ministry for Economic Affairs and Energy (BMWi) supports external consultancy services in companies in the commercial sector including manual trades by means of innovation vouchers. The aim is to increase innovative energy and competitiveness in companies, identify potential for management and the company organisation and improve the efficiency with which materials and raw materials are used.

#### Who can claim the funding?

The go-innovative module is intended to raise the innovative energy, competitiveness and the professionalism of innovation management. Companies in the commercial sector including the trades which are legally independent and have technological potential, are entitled to apply. Their annual sales or balance sheet total may not be more than EUR 20 m, they must have fewer than 100 employees and they must be based in Germany.

#### How is the funding provided?

Companies can undergo two consultancy stages by means of vouchers. The platform is laid in Stage 1 with an analysis of the potential which is followed by a realisation concept and/or project management (go-innovative). A subsidy of up to 50% is granted towards the counselling costs incurred; companies only pay their own share of these costs while the rest is covered by the innovation voucher.

There is no legal entitlement to the funding.

#### Application / contact:

Interested companies can approach one of the consultancy companies authorised by BMWi. You can obtain the contact details of authorised consultancy companies as well as further information from:

#### Project sponsor for go-Inno on behalf of BMWi

Projektträger im Deutschen Zentrum für Luft- und Raumfahrt e. V. German Material Efficiency Agency (demea)

Tel.: +49 228 -3821-1518

info@ bmwi-innovationsgutscheine.de www.bmwi-innovationsgutscheine.de

### 8 Education and further training

### 8.1 Funding for inter-company apprentice training in Mecklenburg-Vorpommern

#### What is funding available for?

Funding is available for inter-company apprentice training courses for trainees at foundation level (1st year of apprenticeship) and specialisation level (2nd to 4th years of apprenticeship) and the accommodation costs.

#### Who can claim the funding?

Recipients of the funding are the chambers of crafts for the State of Mecklenburg-Vorpommern. They can pass the funding on to the organisers of courses of intercompany apprentice training. Organisers can be both chambers of crafts and trade associations, local trade associations, guilds or vocational educational establishments recognised by the chambers of crafts (other organisers).

#### How is the funding provided?

Subsidy towards the course costs and the accommodation costs required. The subsidies are only granted for trainees at foundation level and at specialisation level whose apprentice contracts are recorded in the apprentice register of a chamber of crafts in Mecklenburg-Vorpommern, and who are trained in a commercial company entered in the trade register of a craft chamber in Mecklenburg Vorpommern.

There is no legal entitlement to the funding.

#### **Application / contact:**

Specialist trade associations, local associations, guilds and educational facilities recognised by the craft chambers submit an application for the following year to the responsible craft chamber in Mecklenburg-Vorpommern by 1 October.

The responsible craft chamber combines the applications that it has duly checked with its own application to form one overall application, and applies to

#### GSA Gesellschaft für Struktur- und Arbeitsmarktentwicklung mbH

Schulstraße 1-3 19055 Schwerin Tel.: +49 385 55775-0

Fax: +49 385 55775-40 Faxi: +49 385 55775-40 Email: info@gsa-schwerin.de www.gsa-schwerin.de

for the whole amount by 30 November of each year for the following year.

# 8.2 Funding the qualification of business founders through training vouchers

#### What is funding available for?

Participation of training voucher holders in qualification measures as well as consultancy and support for business founders.

#### Who can claim the funding?

Natural persons who intend to become self-employed by setting up a new business, taking over an existing one or taking up freelance work.

#### How is the funding provided?

The funding is granted in the form of training vouchers. Subsidies of up to 80% of eligible costs can be achieved as follows:

- ▶ for participating in the basic course lasting 48 hours max. EUR 312, for students max. EUR 351, per participant and course
- ▶ for consultancy and support (including any travel costs incurred) up to max. 2 working days of 8 hours each, max. EUR 400 (net funding excl. VAT), for students max. EUR 450, per day

In the case of new innovative, technology-oriented start-ups, 2 additional support days can be funded in justified cases if the consultancy work and support services are dealing with property rights, patent research or comparable issues.

There is no legal entitlement to the funding.

#### Application / contact:

Formal applications must be submitted to the responsible Chamber of Industry and Commerce or the Chamber of Crafts in Mecklenburg-Vorpommern before the work begins and after a consultancy interview has taken place. The chambers will also provide information on further pre-commencement clauses and provisions specific to the programme. See contact details for the chambers in the Annex.

#### 8.3 Master craftsman's grant (KfW)

#### What is funding available for?

Participation in further training measures organised by public and private bodies as full-time or part-time measures which

- ▶ require a professional qualification in a recognised apprenticeship trade, a comparable qualification governed by national or State legislation or a corresponding vocational qualification and
- ▶ provide targeted specialist preparation for further education qualifications under the Trade and Crafts Code or the Vocational Training Act, equivalent further education qualifications governed by federal or State legislation or further education qualifications at recognised supplementary schools on the basis of state-approved examination regulations.

Funding is also available for further training in healthcare and nursing professions.

#### Who can claim the funding?

Tradesmen, technicians and other specialist workers with no age limitation.

#### How is the funding provided?

The funding is provided as a combination of subsidy and loan towards the costs of the measures and - in the case of full-time training measures - towards living costs:

- ▶ Up to EUR 10,226 for course and examination fees, of which 30.5% paid as a subsidy with the rest available in the form of a loan.
- For an examination piece or comparable work in the form of a loan on favourable terms up to half of the required costs, to a maximum of EUR 1,534.

In the case of full-time measures, an income and means-related cost of living contribution can be paid up to the level of the individual grant rate; the living cost grant consists of a subsidy and a loan component. Part of the loan can also be waived if the candidate passes their exam and subsequently sets up a business which leads to new jobs and training places.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before measures begin submitted to the municipal agency for training funding which will also provide information about further provisions specific to the programme. The agency will decide if there is an entitlement to a subsidy and/or loan. If a loan is granted, a corresponding loan agreement from KfW is sent with the notice of approval.

#### Municipal Agencies for Training Funding

www.meister-bafoeg.info/de/102.php

#### Information Centre of the KfW Banking Group

Tel.: 0800 539 9003 www.KfW.de/172

#### 8.4 KfW - Study loans

#### What is funding available for?

Study loans for funding living costs during an initial and second degree course, during post-graduate studies (additional or supplementary degree, research studies or master's degree) or doctorate.

#### Who can claim the funding?

Students of legal age at a state or state-recognised university based in Germany who are no older than 44 when funding starts.

#### How is the funding provided?

The loan is between EUR 100 and 650 per month, regardless of parents' income or means.

There is no legal entitlement to the funding.

#### **Application:**

The application form is available at www.KfW.de/studienkredit. A contract offer will be generated automatically on the basis of the details entered. The contract offer is to be submitted to a distribution partner involved in processing the programme. Distribution partners are accredited banks and student organisations, see overview on the internet at www.KfW.de/studienkreditvertriebspartner.

#### Further information/provisions specific to the programme:

#### Information Centre of the KfW Banking Group

Tel.: 0800 539 9003 www.KfW.de/174

### 9 Environment/Energy

# 9.1 Funding for investments for implementing climate protection projects in Mecklenburg-Vorpommern in commercial organisations

#### What is funding available for?

Measures which serve to reduce greenhouse gases, e.g. investment measures:

- ► for saving energy and improving energy efficiency exceeding the statutory standard as well as
- ▶ for the use of heat from renewable energy (biomass, near-surface geothermal energy and deep geothermal energy, solar power).
- ▶ infrastructure measures for the use of renewable energies (storage, district heat and green gas networks, hydrogen),
- ▶ for the use of alternative, non-fossil fuels and drives as well as electromobility.

#### Who can claim the funding?

Companies in the commercial sector; public corporations, foundations, clubs and associations if commercially active.

#### How is the funding provided?

Subsidy from 20% to 50% of eligible expenditure if commercially active (bonus system). The eligible costs must be at least EUR 20,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

The formal application must be submitted in duplicate for approval in the following year before measures start to the State Funding Institute for Mecklenburg-Vorpommern which can also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: Initial consultation: +49 385 6363-1282

Email: info@lfi-mv.de www.lfi-mv.de

### Postal address:

Postfach: 160255 19092 Schwerin

# 9.2 Funding for investments for implementing climate protection projects in Mecklenburg-Vorpommern in non-commercial organisations

#### What is funding available for?

#### Measures which serve to reduce greenhouse gases, e.g. investment measures:

- ► for saving energy and improving energy efficiency exceeding the statutory standard as well as
- ▶ for the use of heat from renewable energy (biomass, near-surface geothermal energy and deep geothermal energy, solar power).
- ▶ infrastructure measures for the use of renewable energies (storage, district heat networks, hydrogen),
- ▶ for the use of alternative, non-fossil fuels and drives as well as electromobility.

#### Who can claim the funding?

Public corporations, foundations, clubs and associations.

#### How is the funding provided?

Subsidy amounting to 50% of eligible expenditure. The eligible costs must be at least EUR 20.000.

There is no legal entitlement to the funding.

#### **Application / contact:**

The formal application must be submitted in duplicate for approval in the following year before measures start to the State Funding Institute for Mecklenburg-Vorpommern which can also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: Initial consultation: +49 385 6363-1282

Email: info@lfi-mv.de www.lfi-mv.de

#### Postal address:

Postfach: 160255 19092 Schwerin

#### 9.3 Renewable energies - Standard (KfW)

#### What is funding available for?

Projects for the use of renewable energy to generate and store electricity and to generate power and heat in co-generation plants.

#### Who can claim the funding?

- ▶ Domestic and foreign companies in the commercial sector which are predominantly in private hands
- ► Companies in which municipal authorities, churches or charitable organisations have a stake
- ► Members of freelance professions
- **▶** Farmers
- Natural persons and charitable applicants who feed in the electricity generated or sell the heat produced

#### How is the funding provided?

Long-term loans on favourable terms with no repayments in the early years, up to 100% of net eligible investment costs and a maximum limit of EUR 50 m per project.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

Tel.: 0800 539 9001 www.KfW.de/270

#### 9.4 Renewable energies - Premium (KfW)

#### What is funding available for?

Projects for the use of renewable energy; the "Premium" section of the programme supports larger plants for the use of renewable energy in the heat market in Germany which particularly deserve funding.

#### Who can claim the funding?

- ► Natural persons who use the heat and/or electricity generated exclusively for their own private use (no rentals)
- Charitable applicants
- ► Members of freelance professions
- ► Farmers
- Small and medium-sized enterprises as per the EU definition of SMEs<sup>1</sup>
- ► Small and medium-sized enterprises with municipal participation (more than 25%)
- Municipal authorities and their legally dependent in-house operations and associations of boroughs
- Other companies (large corporations) operating within the funding objectives of solar thermal energy, deep geothermal energy, heat storage and heat networks
- Certain other companies (large corporations) which act as energy service companies

The applicant is either the owner, leaseholder or tenant of the land on which the funded investment measures are to be carried out (exception: energy service provider). Investors are only entitled to submit an application if they are also the operators of the plants at the same time. If this is not the case, funding can only be granted if investors and operators assume joint and several liability for the loan.

#### How is the funding provided?

Long-term loans with no repayments in the early years, up to 100% of net eligible investment costs and a maximum limit of EUR 10 m. In the case of deep geothermal energy projects, the scope of funding is up to 80% of the net investment costs. Repayment subsidies can also be granted from federal funds. The level of funding is based on the type of measure concerned.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### For additional information:

#### Information Centre of the KfW Banking Group

Tel.: 0800 539 9001

www.KfW.de/271 (Renewable Energies - Premium)

www.KfW.de/272 (Renewable Energiers - Premium - deep geothermal energy)

#### 9.5 KfW environmental programme

#### What is funding available for?

Investment measures which contribute towards making significant improvements to the environmental situation: Investments to increase resource efficiency / material savings, to keep the air clean (e.g. electromobility), avoid, treat and recycle waste, clean, reduce and avoid waste water, protect soil and groundwater as well as to remove contamination and conduct site remediation. There is also funding for expenditure on supporting the planning and implementation process incurred in connection with a company environmental protection investment worthy of support.

#### Who can claim the funding?

#### For **domestic** projects, the following may apply:

- ▶ Domestic and foreign companies in the commercial sector which are predominantly in private hands.
- ► Members of freelance professions,
- ► Companies which provide services for a third party as part of a contracting agreement as well as
- ► Collaboration and operator models for meeting public authority tasks (Public Private Partnership models).

#### For projects **abroad**, the following may apply:

- German companies in the commercial sector as well as members of freelance professions operating in Germany,
- ► Subsidiaries of the German companies specified, based abroad,
- ▶ Joint ventures with definitive German participation as well as
- also foreign companies in border areas if the environmental situation in Germany will be improved.

#### How is the funding provided?

Long-term loans at favourable rates with no repayments in early years. Funding is available for 100% of the eligible investment costs and a maximum amount of EUR 10 m per project.

Small enterprises as per the EU definition of SMEs<sup>1</sup> can obtain more favourable interest rates.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 9.6 IKK - Energy-efficient construction and refurbishment (KfW)

#### What is funding available for?

Energy-related refurbishment of buildings forming part of municipal and social infrastructure to become KfW efficiency houses, individual energy-saving measures and reduction of CO<sub>2</sub> emissions in buildings as well as the construction or initial acquisition of new KfW efficiency houses.

#### Who can claim the funding?

- ► Municipal authorities
- ► Legally dependent in-house operations of municipal authorities
- Municipal associations (e.g. special purpose municipal associations) which have a risk weighting by the credit risk standard model of zero in accordance with the regulation governing the regulatory requirements of banks and investment firms

#### How is the funding provided?

Loans at favourable rates with a maximum level of 100% of investment costs. The following can be funded:

- ► energy-related refurbishment to become KfW efficiency house, 70, 100 or listed building
- ► Construction or initial acquisition of new KfW efficiency house 55 or 70

A reduction can be achieved with the help of federal funds for the first fixed-interest period, for max. 10 years.

If evidence of a conversion to a KfW efficiency house is provided, a repayment subsidy of up to 17.5% of the approved amount is paid depending on the level of efficiency achieved. Up to 5% of the amount approved for the construction of a new KfW efficiency house 55.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### KfW banking group

Info Centre for municipalities:

Tel.: 0800 539 9008

www.KfW.de/218 or www.KfW.de/217

#### 9.7 IKU - Energy-efficient construction and refurbishment (KfW)

#### What is funding available for?

Energy-related refurbishment of buildings forming part of municipal and social infrastructure to become KfW efficiency houses, individual energy-saving measures and reduction of CO<sub>2</sub> emissions in buildings as well as the construction or initial acquisition of new KfW efficiency houses.

#### Who can claim the funding?

- ► Companies with a background of predominantly municipal partners (direct or indirect participation of one or more municipal authorities or federal states with more than 50% in total and a minimum municipal participation of 25%)
- ▶ All charitable forms of organisation including churches which are responsible for the buildings to be refurbished. Evidence of charitable status is provided by means of a corresponding confirmation from the responsible tax office of exemption from corporate income tax
- Companies (regardless of their legal form and ownership structure) as well as natural persons as part of Public Private Partnerships (PPP models, e.g. contracting). In the case of companies, annual consolidated sales must not exceed EUR 500 m. The condition is that the capital goods to be financed through KfW funds will be used for the duration of the KfW loan by a municipal authority, a legally dependent in-house operation or an association of boroughs (e.g. special purpose municipal association), a charitable organisation or a company with a background of predominantly municipal partners

#### How is the funding provided?

#### Loans at favourable rates, maximum level of 100% of investment costs for:

- energy-related refurbishment to become KfW efficiency house, 70, 100 or listed building
- ► Construction or initial acquisition of new KfW efficiency house 55 or 70

A reduction can be achieved with the help of federal funds for the first fixed-interest period, for max. 10 years. If evidence of a conversion to a KfW efficiency house is provided, a repayment subsidy of up to 17.5% of the approved amount is paid, and up to 5% of the approved amount for the construction of a new KfW efficiency house 55, depending on the level of efficiency achieved.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

Tel.: 0800 539 9008

www.KfW.de/219 or www.KfW.de/220

#### 9.8 BMUB environmental innovation programme (KfW)

#### What is funding available for?

Large-scale pilot projects for production processes and products in order to sustainably relieve the environment as economically as possible. The focus is on pilot projects in the areas of waste water treatment / hydraulic engineering, the prevention, recycling and removal of waste as well as the remediation of abandoned landfills, soil protection, air pollution control, reduction of noise and vibration, energy-saving, energy efficiency and the use of renewable energies as well as the environmentally-friendly supply and distribution of energy, resource efficiency / material savings.

#### Who can claim the funding?

- ▶ Domestic and foreign companies in the commercial sector as well as other natural persons and legal entities under private law and companies with a background of predominantly municipal partners. Small and medium-sized enterprises as per the EU definition of SMEs¹ enjoy special funding.
- Municipal authorities and their legally dependent in-house operations as well as special purpose municipal associations.

#### How is the funding provided?

Loans with an interest subsidy of up to 70% of the eligible investment costs, with a maximum term of 30 years.

Investment subsidies are normally granted up to 30% of eligible expenses/costs.

There is no legal entitlement to the funding.

#### Application / contact:

Formal application before the start of the project through a finance partner of your choice submitted to KfW or in the case of authorities, submitted directly to KfW. Applications for investment subsidies also submitted directly to KfW who will also provide information on further provisions specific to the programme.

KfW and the Federal Environment Agency (UBA) check whether the project is generally eligible for funding.

#### For additional information:

Information Centre of the KfW Banking Group

# 9.9 IKK - Urban rehabilitation with energy focus - supply of neighbourhood (KfW)

#### What is funding available for?

Investments in the neighbourhood supply of heat as well as in the energy-efficient supply of water and disposal of waste water at neighbourhood level for the sustainable improvement in the energy efficiency of municipal supply systems.

Several contiguous buildings within one part of the town form a neighbourhood municipal facilities, business, retail, services, industry and private households. The public infrastructure also forms part of it. The particular focus here is on heat, water and waste water.

#### Who can claim the funding?

- ► Municipal authorities
- ► Legally dependent in-house operations of municipal authorities
- ► Borough associations (e.g. special purpose municipal associations)

#### How is the funding provided?

Long-term loan; no maximum amount; funding share up to 100% of eligible investment costs. The interest rate on the loans is lowered through federal funds for a maximum duration of 10 years.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### For additional information:

KfW banking group Info Centre for municipalities:

# 9.10 IKU - Urban rehabilitation with energy focus - supply of neighbourhood (KfW)

#### What is funding available for?

Investments in the neighbourhood supply of heat as well as in the energy-efficient supply of water and disposal of waste water at neighbourhood level for the sustainable improvement in the energy efficiency of municipal supply systems.

Several contiguous buildings within one part of the town form a neighbourhood municipal facilities, business, retail, services, industry and private households. The public infrastructure also forms part of it. The particular focus here is on heat, water and waste water.

#### Who can claim the funding?

- ➤ Companies with a background of predominantly municipal partners (i.e. direct or indirect participation of one or more municipal authorities or federal states with more than 50% in total and a minimum municipal participation of 25%)
- ► Companies (regardless of their legal form or ownership structure) as part of Public Private Partnerships (PPP) with consolidated sales of max. EUR 500 m.

#### How is the funding provided?

Long-term loan of up to EUR 50 m per project. Funding proportion up to 100% of eligible investment costs. The interest rate on the loans is lowered through federal funds for a maximum duration of 10 years.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 9.11 Urban rehabilitation with energy focus - subsidy (KfW)

#### What is funding available for?

Integrated neighbourhood concepts for energy-related rehabilitation measures to be produced including solutions for the supply of heat, saving, storing and generating energy taking special account of issues surrounding urban planning, listed buildings, architectural culture, housing and social impact, as well as a redevelopment manager who supports and coordinates the planning and implementation of the measures envisaged in the concepts.

#### Who can claim the funding?

Municipal authorities and their legally dependent in-house operations; they can pass on subsidies to

- companies with a background of predominantly municipal partners,
- housing companies and housing cooperatives as well as
- owners of residential buildings used by themselves or let (in particular local property owner partnerships).

#### How is the funding provided?

Subsidy of up to 65% of eligible costs for a period of

- ▶ up to one year on the production of integrated concepts, and
- ▶ up to 3 years for redevelopment managers; the maximum subsidy for redevelopment managers is EUR 150,000 per neighbourhood. The de minimis limit stands at EUR 5,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### For additional information:

KfW banking group

Info Centre for municipalities:

#### 9.12 Energy management systems

#### What is funding available for?

#### Funding is available for:

- ▶ the initial certification of an energy management system to DIN EN ISO 50001,
- the initial certification of an alternative system in accordance with Annex 2 of the Efficiency System Directive in relation to tax caps for energy-intensive users (SpaEfV),
- ▶ the acquisition of measurement, counter and sensor systems (measurement technology) for energy management systems as well as
- ▶ the acquisition of software for energy management systems.

External consultancy for the development, implementation and maintenance of an energy management system can be funded in connection with initial certification, as can the training of employees to become energy/management officers for an energy management system.

#### Who can claim the funding?

Any company based in the Federal Republic of Germany or with a branch office there is entitled to apply.

Companies which take advantage of the Special Equalisation scheme (Sections 63 ff. of the Renewable Energy Act (EEG) or the tax cap for intensive energy users (Section 10 of the Electricity Taxation Act (StromStG) and Section 55 of the Energy Taxation Act (EnergieStG)), are not eligible to apply. Only companies which are obliged to introduce an alternative system under the Special Equalisation scheme or the tax cap, are eligible to apply for funding for the initial certification of an energy management system to DIN EN ISO 50001.

#### How is the funding provided?

#### Funding is provided in the form of a subsidy. The level of the funding is set at:

- ▶ for initial certification to DIN EN ISO 50001, max. 80% of eligible expenses and max. EUR 6,000,
- ▶ for initial certification of an alternative system, max. 80% of eligible expenses and max. EUR 1,500,
- ▶ for the acquisition of measurement technology for energy management systems, max. 20% of eligible expenses and max. EUR 8,000,
- ▶ for the acquisition of software for energy management systems, max. 20% of eligible expenses and max. EUR 4,000,
- ▶ for consultancy services for the development, implementation and maintenance of an energy management system, max. 60% of eligible expenses and max. EUR 3.000 and
- ▶ for training employees to become energy officers / management officers for an energy management system, max. 30% of eligible expenses and max. EUR 1,000.

A company can obtain funding for several projects within a period of 36 months. The total amount of funding is limited to max. EUR 20,000 within this period.

There is no legal entitlement to the funding.

#### **Contact:**

Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Unit 512 Frankfurter Straße 29-35 65760 Eschborn Tel.: +49 6196 908-1503

Email: poststelle@bafa.bund.de www.bafa.de

www.bara.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

#### 9.13 Market incentive programme

#### What is funding available for?

There is funding for the installation and expansion of:

- solar collector systems,
- plants for burning solid biomass,
- efficient heat pumps,
- particularly innovative technologies for generating heat and cooling from renewable energies,
- plants for the provision of industrial process heat as well as
- ▶ the subsequent optimisation of plants already funded.

Priority is given to existing buildings with heating systems which are at least two years old. Technically sophisticated solar power systems, biomass heating systems and heat pumps can also be funded, however, in new construction projects (innovation funding).

#### Who can claim the funding?

The following can apply:

- Companies,
- Local authorities, municipal authorities and special purpose municipal associations,
- ► Members of freelance professions,
- ► Charitable organisations, cooperatives as well as
- Private individuals.

The federal government, the federal states and their facilities as well as manufacturers of eligible systems or their main components are not eligible for funding (exception: contractors).

#### How is the funding provided?

Solar collector systems, biomass plants and heat pumps are funded through fixed amounts depending on the collector area or the rated heat output of the system:

- ➤ solar collector systems purely for the provision of hot water with a gross collector area of up to 40 m²: EUR500 to 2,000; solar collector systems to support heating with a gross collector area of 40 m²: EUR 2,000 to 5,600;
- ► solar collector systems purely for the provision of hot water between 20 and 100 m² in apartment blocks and large non-residential buildings: EUR 1,500 to 10,000;
- ▶ solar collector systems to support the heating between 20 and 100 m² in apartment blocks and large non-residential buildings: EUR 3,000 to 20,000;
- automatically loaded biomass plants for thermal use with a rated output of up to and including 100 kW of heat: EUR 2,000 to 8,000;
- ▶ firewood gasification boilers with particularly low emissions: EUR 2,000 (for innovation funding up to EUR 5,250);
- ▶ wood chip boilers: EUR 3,500 (for innovation funding up to EUR 5,250);
- efficient heat pumps with a rated heat output of up to and including 100 kW: EUR 1,300 to 15,000.

Besides the basic funding, there is also additional funding available: You can obtain a "combination bonus" for combining different renewable measures or for connecting the eligible system to a heating grid, an "efficiency bonus" for systems in efficient buildings and a "boiler replacement bonus" for combining solar thermal energy with condensing boilers.

Additional funding can also be granted for optimising a heating system in energy terms ("optimisation bonus"). There is an additional "load management bonus" for heat pumps capable of managing loads. In the case of solar thermal process heat, the subsidies available are up to 50% of the net investment costs, and up to 30% for biomass plants and heat pumps. The net investment costs also include planning costs for the system and the cost of process integration.

There is no legal entitlement to the funding.

#### Contact:

Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Unit 513 Frankfurter Straße 29-35 65760 Eschborn Tel.: +49 6196 908-1625

Email: poststelle@bafa.bund.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

#### 9.14 Refrigeration and air conditioning systems

#### What is funding available for?

There is funding available for the following consultancy services and emissions reduction measures in refrigeration and air conditioning systems:

- ▶ the collection of data for the issuing of an energy efficiency certificate for an existing refrigeration and air conditioning system by an independent expert
- ► measures on compression refrigeration systems with an electrical drive power for the compressor(s) of at least 5 kW and max. 150 kW
- ► measures on compression air conditioning systems with an electrical drive power for the compressor(s) of at least 10 kW and max. 150 kW
- ► measures on sorption refrigeration and air conditioning systems with a refrigerating capacity of at least 5 kW and max. 500 kW
- measures for using waste heat from production processes and refrigeration systems (bonus funding)

#### Who can claim the funding?

Companies which are either the owners, leaseholders or tenants of the land on which the system is located, are eligible to apply as are energy service providers (contractors) commissioned by the owner, leaseholder or tenant of the land.

Legal entities under public law and their in-house operations are entitled to apply as long as they are legally independent and pursue business activities which put them in a specific competitive relationship with other companies.

#### How is the funding provided?

Funding is provided in the form of a subsidy. The level of the funding for:

- ▶ consultancy measures is 80% of the invoiced costs, max. EUR 1,000,
- ▶ basic funding is up to 20% of the net investment costs depending on the energy efficiency and refrigerants used for existing systems and up to 25% of the net investment costs depending on the energy efficiency and refrigerants used for new systems, max. EUR 100,000 and
- ▶ bonus funding is up to 25% of the net investment costs depending on the technology used, max. EUR 50,000.

There is no legal entitlement to the funding.

#### **Contact:**

## Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA) [Federal Office of Economics and Export Control]

Unit 525 - Refrigeration Frankfurter Straße 29-35 65760 Eschborn Tel.: +49 6196 908-1249

Email: poststelle@bafa.bund.de

www.bafa.de

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

# 10 Preservation orders/Cultural-historical buildings

## 10.1 State programme for the preservation of historic buildings in Mecklenburg-Vorpommern

#### What is funding available for?

Eligible measures include all work on securing, preserving and restoring historical monuments in their original substance, work on restoring partially destroyed monuments if as a result the original substance is secured as well as work to reconstruct lost portions.

#### Who can claim the funding?

Proprietors and owners of listed buildings and their dependants.

#### How is the funding provided?

Subsidies can in principle be up to 50% of the supplementary expenditure for preserving the monument.

As a general rule, funding will only be granted if the eligible costs exceed EUR 10,200 for legal entities and EUR 5,100 for natural persons.

Measures which attract state or federal funding from elsewhere with the same objective of preserving historical monuments, can only enjoy overall funding up to 50% of the supplementary expenditure for preserving the monument.

There is no legal entitlement to the funding.

#### **Application / contact:**

The formal application should be submitted by 31 October of each year for the following year.

#### Landesamt für Kultur und Denkmalpflege

Domhof 4/5 19055 Schwerin

Tel.: +49 385 588-79111

Email: foerdermittel@kulturerbe-mv.de

www.kulturerbe-mv.de

#### 11 Trade fair funding

## 11.1 Trade fair funding for individual companies by the State of Mecklenburg-Vorpommern

#### What is funding available for?

Max. 3 participations in fairs and exhibitions per company in the calendar year. The cost of renting the space for the stand is eligible for funding. Regional fairs held in Mecklenburg-Vorpommern are excluded from funding.

#### Who can claim the funding?

Small and medium-sized enterprises in the commercial sector as per the EU definition of SMEs<sup>1</sup>, based in or with business premises in Mecklenburg-Vorpommern.

#### How is the funding provided?

Subsidy of up to 50% of the rental cost of the stand space, max. EUR 6,000. The funding rate depends on the size of the applicant's company. Measures with eligible expenses below EUR 1,000 are excluded from funding.

There is no legal entitlement to the funding.

#### **Application / contact:**

Applications must arrive at the State Funding Institute of Mecklenburg-Vorpommern before the project starts (conclusion of contract for participating in the fair). The State Funding Institute must confirm receipt of the application before the project begins in writing.

Further information / provisions specific to the programme:

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1282 Email: info@lfi-mv.de www.lfi-mv.de

Postal address:

PO box: 160255 19092 Schwerin

#### 11.2 Federal participation in fairs and exhibitions abroad

#### What is funding available for?

Participation by companies in selected international trade fairs and exhibitions as well as German industrial exhibitions abroad.

#### Who can claim the funding?

Companies based in the Federal Republic of Germany as well as their branches abroad and representatives with exhibition goods manufactured in the Federal Republic of Germany or by German branches abroad or under a German licence.

#### How is the funding provided?

Participating companies pay a participation price for support from the implementation company in Germany and at the location of the event, for the concession of the exhibition space and for further organisational and technical services. The foreign trade fair programme benefits companies indirectly. No direct payments are made to exhibitors.

There is no legal entitlement to the funding.

#### **Contact:**

Information and advice on participating in foreign trade fairs:

#### Ausstellungs- und Messe-Ausschuss der Deutschen Wirtschaft e.V. (AUMA)

Littenstraße 9 10179 Berlin

Tel.: +49 30 24000-0 Email: info@auma.de www.auma.de

#### Postal address:

Postfach: 021 281 10124 Berlin

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

#### 11.3 Fair programme young, innovative companies

#### What is funding available for?

Participation in joint stands arranged by fair organisers for young innovative companies at leading international fairs in Germany can attract funding.

#### Who can claim the funding?

The beneficiaries are legally independent, young, innovative companies with new product and process developments which:

- ▶ are based and operate their business in the Federal Republic of Germany,
- meet the current EU definition for a small company (50 employees and an annual balance sheet total or annual sales of max. EUR 10 m) and
- ► are less than 10 years old.

#### How is the funding provided?

The costs for the stand rental and for stand construction invoiced by the fair organiser as part of the joint stand are eligible for funding. The exhibitor must bear his own share of 30% or 40% of these eligible costs.

There is no legal entitlement to the funding.

#### Application deadline / submission of application:

The exhibitor will register his participation in the joint stand at the funded fair with the fair organiser no later than 8 weeks before the start of the fair. At the same time, the exhibitor must submit an approval application to BAFA for funding for his participation in the fair. As only eligible exhibitors are allowed to participate in the joint stand, registration for participation in the joint stand only becomes effective once BAFA has approved the exhibitor's eligibility for funding.

#### Contact:

Information and advice on participating in leading foreign trade fairs:

#### Ausstellungs- und Messe-Ausschuss der Deutschen Wirtschaft e.V. (AUMA)

Littenstraße 9 10179 Berlin

Tel.: +49 30 24000-130 Email: info@auma.de

www.auma.de

#### Postal address:

Postfach: 021 281 10124 Berlin

#### Sources and revision level of copy:

"Förderkompass BAFA – Partner to small and mid-sized enterprises" brochure published by the Federal Office of Economics and Export Control (BAFA) as of July 2015.

# 12 Residential and urban development funding programmes

12.1 Funding for residential buildings - funding for the modernisation, repair and modification of rental and cooperative apartments as well as owner-occupied flats in order to reduce barriers

#### What is funding available for?

The focus of funding for residential buildings is on the sustainable modernisation and repair of existing apartments and the modification of residential space to reduce or eliminate barriers. In detail, the following scenarios attract funding (funding priorities):

- 1. modernisation and repair of rental and cooperative apartments,
- modernisation and repair of owner-occupied apartments (constructed before 1990) including modifications to reduce barriers,
- 3. modernisation and repair of rental and cooperative apartments as well as owner-occupied apartments (constructed before 1949) in inner-city districts with old buildings,
- 4. conversion of rental and cooperative apartments as well as owner-occupied apartments to make them barrier-free,
- 5. barrier-reducing (low barrier) conversion of rental and cooperative apartments,
- 6. retrofitting passenger lifts in residential buildings and
- 7. low barrier conversion of owner-occupied apartments
- 8. upgrading empty apartments to supply disadvantaged households

#### Who can claim the funding?

Owners (natural persons and legal entities) of land with residential buildings in boroughs defined as higher, middle and basic centres in accordance with the State spatial development programme and the regional spatial development programmes.

#### How is the funding provided?

Loans at favourable rates are provided for the a.m. funding priorities 1 to 6 as well as through non-repayable subsidies for the a.m. funding priorities 6, 7 and 8.

There is no legal entitlement to the funding.

#### **Application / contact:**

Registration for funding for the project submitted by the owner to the approval authority. The formal application has to be submitted after confirmation from the approval authority.

#### **Approval authority:**

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1340 or 1341

Email: info@lfi-mv.de www.lfi-mv.de Postal address:

PO box: 160255 19092 Schwerin

# 12.2 Modernisation and repair of structures in private ownership and construction of new buildings in formally defined redevelopment areas in Mecklenburg-Vorpommern

#### What is funding available for?

Support for the modernisation and repair of structures as well as, if applicable, new construction projects of private owners in designated redevelopment areas.

#### Who can claim the funding?

The recipients of urban development funding are boroughs which have been included in the urban development funding programme. The borough can grant urban planning funds to third parties (e.g. private owners) for corresponding investments.

#### How is the funding provided?

Funding through subsidies or loans.

#### Modernisation and repair:

Any expenditure incurred by the owner in carrying out the work which cannot be covered by sustainable income from the building or through other subsidies, is eligible for funding. The borough can use urban development funds for the cost reimbursement amount determined on the basis of cost accounting, or it can grant flat rate funding. Flat rate funding amounts to max. 30% of eligible costs; in the case of structures of particular significance in urban planning terms, it can be max. 50% of eligible costs. In the case of minor modernisation work, the maximum upper limit for flat rate funding is 85% of eligible costs subject to the upper cost limit of EUR 300/m² of living area or usable area.

#### Funding for new construction:

The construction of new residential buildings (parts of which can also be used commercially) can attract funding with a subsidy of up to EUR 225/m² of living area/ usable space.

The funding rate can be increased by up to EUR  $60/m^2$  of living area for family-friendly construction (apartments with more than three rooms, separate kitchen, at least two bathrooms/WCs and balcony/terrace) as well as by up to EUR  $30/m^2$  of living area for barrier-free construction and living in accordance with Section 50 of the State building code for Mecklenburg-Vorpommern.

There is no legal entitlement to the funding.

#### **Application / contact:**

Private investors have to submit an application to the responsible local authorities before starting work. The relevant borough or the redevelopment body acting on behalf of the local authorities will provide information/advice.

#### Note:

Owners who carry out modernisation and repair work on their house situated in the redevelopment area, can obtain tax privileges pursuant to Section 7h of the Income Tax Act (EStG) depending on the subsidies granted from urban development funds. It is a mandatory prerequisite to conclude a modernisation and repair contract or another voluntary agreement between the owner and the borough before building work starts. After checking the requirements, the responsible borough will issue a certificate under Section 7h EStG for the tax office after completion of the work.

# 12.3 Modernisation and repair of infrastructural system as well as communal facilities and civic amenities in formally defined redevelopment areas in Mecklenburg-Vorpommern

#### What is funding available for?

Eligible infrastructural systems include public roads, paths and squares, green spaces, public playgrounds and car parks. Eligible communal facilities and civic amenities are structures and facilities serving the general public and in particular social, cultural or health purposes. They include child daycare centres, schools, theatres, museums, libraries, town halls and nursing homes.

#### Who can claim the funding?

The funding is granted to towns and boroughs.

#### How is the funding provided?

Funding is provided in the form of a proportional subsidy.

There is no legal entitlement to the funding.

#### **Application / contact:**

The plans must be reported to the Ministry of Economics, Construction and Tourism of Mecklenburg-Vorpommern and presented for inspection before construction work begins. The Ministry will check the basic eligibility of the construction work. The local authorities or the redevelopment bodies working on their behalf will supply information as will the

#### Ministerium für Wirtschaft, Bau und Tourismus

Mecklenburg-Vorpommern

Abteilung 5 [Department 5] Johannes-Stelling-Straße 14

19053 Schwerin

www.wm.regierung-mv.de

Email: poststelle@wm.mv-regierung.de

#### Postal address:

19048 Schwerin

Ministerium für Wirtschaft, Bau und Tourismus

#### **Approval authority:**

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1375 or 1371

Email: info@lfi-mv.de www.lfi-mv.de

#### Postal address:

Postfach:160255 19092 Schwerin

# 12.4 Town conversion East - funding programme to demolish permanently empty flats in Mecklenburg-Vorpommern

#### What is funding available for?

Demolition of empty residential buildings or parts of residential buildings no longer required on a long-term basis.

#### Who can claim the funding?

There is funding available for demolition work planned by the town/borough as an overall project. The overall project consists of individual measures implemented by property owners whose demolition projects match the relevant urban development concepts of the local authorities or the rough concepts in the case of small boroughs.

#### How is the funding provided?

Subsidies are provided; there is an upper funding threshold of:

- ► EUR 50 for every square metre of demolished living area for buildings with up to six floors above ground;
- ► EUR 60 for every square metre of demolished living area for buildings with more than seven floors above ground;
- ► EUR 100 for every square metre of demolished living area in the case of a partial demolition of the building (individual floors or sections of floors) if
  - > the work is located in a borough with at least 5,000 inhabitants,
  - ➤ the work serves to upgrade the residential area and the positive development
     of the district (specific definition of the building required in the urban planning
     development concept or statement by the outline planner).

There is no legal entitlement to the funding.

#### **Application / contact:**

Before work begins, apartment owners submit an informal application to the town/borough for their individual measure to be included in the overall work. The town/borough applies for the overall work to be included in the demolition funding programme with the

#### Ministerium für Wirtschaft, Bau und Tourismus

Mecklenburg-Vorpommern Abteilung 5 [Department 5] Johannes-Stelling-Straße 14 19053 Schwerin

Tel.: +49 385 588-0 www.wm.regierung-mv.de

Email: poststelle@wm.mv-regierung.de

#### Postal address:

19048 Schwerin

Ministerium für Wirtschaft, Bau und Tourismus

# **Approval authority:** Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1340 or 1345

Email: info@lfi-mv.de www.lfi-mv.de Postal address: PO box: 160255

19092 Schwerin

#### 12.5 Town conversion East - Upgrading programme in Mecklenburg-Vorpommern

#### What is funding available for?

#### Funding priorities include:

- urban replanning as well as the re-use or interim use of industrial, transport or military wasteland
- improvement of public space, the living environment and open private spaces
- adaptation of urban infrastructure including basic supplies
- upgrading and conversion of existing building stock such as the preservation of buildings of cultural, structural significance, e.g. modernisation and repair of characteristic buildings in the cityscape
- re-use and interim use of newly exposed areas
- ▶ other structural and regulatory measures required for the urban replanning

#### Who can claim the funding?

Towns and boroughs

#### How is the funding provided?

Proportional subsidy and/or loans to finance uneconomical costs.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the measure submitted to the State Funding Institute for Mecklenburg-Vorpommern which will also provide information on further pre-commencement clauses and provisions specific to the programme.

#### Approval authority:

Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1375 Email: info@lfi-mv.de www.lfi-mv.de

Postal address: PO box: 160255 19092 Schwerin

#### Integrated, sustainable urban development

#### 12.6 ERDF funding period 2014-2020

#### What is funding available for?

#### Funding is granted for:

- urban planning projects to improve the long-term use of the cultural heritage, urban planning projects to connect and develop brownfield sites near to the
- ▶ town and in the inner city, design of the residential environment and connection of green areas,
- ► transport infrastructure projects with relevance to the environment which make a significant contribution towards reducing emissions of air pollution and/or noise and for the protection of human health by reducing the danger of accidents,
- projects to improve urban infrastructure (including improving barrier-free access) which enable specific sections of the population to integrate more easily into the educational and working environment and society

#### Who can claim the funding?

The beneficiaries are middle and higher State centres which have an integrated urban development concept. The borough can grant funds to third parties (e.g. private owners) for corresponding investments.

#### How is the funding provided?

Funding through subsidies of up to 75% of eligible expenditure.

There is no legal entitlement to the funding.

#### **Application / contact:**

Projects funded through ERDF are always selected in the course of competitions. The town submits the projects to the responsible technical departments specified in the project invitation process.

The responsible Ministry informs the town of the decision regarding the selection of the project, and requests it to submit a formal application for funding in writing in the event of a positive vote. The formal application must be submitted before the start of the project to the State Funding Institute for Mecklenburg-Vorpommern which will also provide information on further pre-commencement clauses and provisions specific to the programme. Work on the project may only begin after the responsible Ministry has issued its written approval.

# **Approval authority:** Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1375 Email: info@lfi-mv.de www.lfi-mv.de

Postal address: PO box: 160255 19092 Schwerin

#### Integrated, sustainable urban development

#### 12.7 EAFRD funding period 2014-2020

#### What is funding available for?

Funding relates mainly to projects which contribute towards the functional reinforcement and upgrading of residential areas with the aim of counteracting the negative effects of demographic change, taking into account the requirements of climate protection and climate adaptation, securing the future potential of the boroughs to be funded and enhancing the competitiveness and attractiveness of the region. The focus is on preserving the functionality of rural areas, thereby securing the services of general economic interest. Measures which contribute towards expanding and adapting the social infrastructure, are funded accordingly as is the exploitation of historically valuable or characteristic buildings and complexes for their re-use, as well as the remediation and redevelopment of brownfield sites.

#### Who can claim the funding?

The funding is aimed at the 41 basic centres in the State of Mecklenburg-Vorpommern listed by name in the RDP (Rural Development Programme). Legal entities can also obtain funding for public amenities.

#### How is the funding provided?

The funding is provided as a non-repayable subsidy as proportional funding. Funding is normally granted up to 75% of eligible expenses.

There is no legal entitlement to the funding.

#### **Application / contact:**

Funding is only granted for formal applications in writing. Applications for a grant must be addressed to the State Funding Institute for Mecklenburg-Vorpommern. Work may only start on the project once it has been approved in writing by the Ministry of Economics, Construction and Tourism.

#### Approval authority:

#### Landesförderinstitut Mecklenburg-Vorpommern

Werkstraße 213 19061 Schwerin

Tel.: +49 385 6363-1375 Email: info@lfi-mv.de www.lfi-mv.de Postal address:

PO box: 160255 19092 Schwerin

#### 12.8 Age-appropriate conversions (KfW)

#### What is funding available for?

Measures for the needs-based reduction of barriers in existing residential buildings regardless of the age and disabilities of the users.

Funding is available for freely combinable, flexible funding blocks defined for adapting existing buildings, as well as for measures for burglary protection; funding for the subject of burglary protection can be applied for from 1 April 2016.

#### Who can claim the funding?

Bodies responsible for investment measures in owner-occupied and rented residential buildings or freehold apartments as well as the first buyers of new, barrier-reduced residential buildings or freehold apartments.

#### How is the funding provided?

Long-term loan of up to EUR 50,000 per residential unit. Funding proportion up to 100% of eligible investment costs.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application to be submitted before the start of the project to a financial partner of your choice who will also provide information on further provisions specific to the programme, in particular on consulting experts for the Age-appropriate House Standard.

#### For additional information:

Information Centre of the KfW Banking Group

#### 12.9 Age-appropriate conversions - investment subsidy (KfW)

#### What is funding available for?

Measures for the needs-based reduction of barriers in existing residential buildings regardless of the age and disabilities of the users.

Funding is available for freely combinable, flexible funding blocks defined for adapting existing buildings, as well as for measures for burglary protection.

#### Who can claim the funding?

- Owners of residential buildings used by themselves or let (max. 2 residential units),
- ► First buyers of new, barrier-reduced residential buildings as well as freehold apartments,
- Owners of residential buildings used by themselves or let in residential property owner associations or
- ► Tenants with the approval of their landlord.

#### How is the funding provided?

- ▶ 10% of the eligible investment costs for individual measures for burglary protection, max. EUR 1,500 per residential unit,
- ▶ 10% of the eligible investment costs for individual measures for reducing barriers, max. EUR 5,000 per residential unit and
- ▶ 12.5% of the eligible costs for achieving the Age-appropriate House Standard, max. EUR 6,250 per residential unit.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 12.10 Energy-efficient construction (KfW)

#### What is funding available for?

- ➤ Construction or first acquisition of especially energy-efficient residential buildings (areas used for residential purposes and residential units) including homes, retirement homes and nursing homes.
- ► Residential units newly created through the expansion of previously unheated areas (e.g. attic conversions).

#### Who can claim the funding?

- ▶ All bodies responsible for investment measures on new, owner-occupied or rented residential buildings and freehold apartments.
- First buyers of newly constructed residential buildings or freehold apartments.

Bodies responsible for investment measures include private individuals, housing companies, housing cooperatives, property developers, owners/operators of residential homes, public sector entities and agencies as well as contracting providers (investors).

#### How is the funding provided?

Loans at favourable rates; up to 100% of construction costs.

- ➤ The KfW funding for the KfW efficiency house 70 no longer applies due to increased requirements under the Energy Conservation Regulation (EnEV) 2014. Instead a further KfW efficiency house standard 40 Plus is being offered with an attractive repayment subsidy to go along with the already existing funding standards, KfW efficiency house 55 and 40.
- ► The maximum funding amount per residential unit is being increased from EUR 50.000 to EUR 100.000.
- ► A 20-year fixed-interest alternative is being introduced for loan terms of 20 30 years.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 12.11 Energy-efficient refurbishment - Loans (KfW)

#### What is funding available for?

Measures for saving energy and reducing  $\mathrm{CO}_2$  emissions for existing residential buildings including residential homes, retirement homes and nursing homes, for which the building application was submitted or start of construction notified before 01.02.2002. This is conditional upon commissioning an energy advisor to produce a refurbishment concept before work begins.

#### Who can claim the funding?

- ▶ All bodies responsible for investment measures on owner-occupied or rented residential buildings and freehold apartments.
- First buyers of newly refurbished residential buildings or freehold apartments.

Bodies responsible for investment measures include private individuals, housing companies, housing cooperatives, property developers, owners/operators of residential homes, public sector entities and agencies as well as contracting providers (investors).

#### How is the funding provided?

Long-term loan with no repayments in the initial years, up to 100% of eligible investment costs. The maximum loan is EUR 100,000 per residential unit for conversion to a KfW efficiency house, and a repayment subsidy of up to 27.5% as well as EUR 50,000 per residential unit for individual measures and a repayment subsidy of 7.5%.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 12.12 Energy-efficient refurbishment - investment subsidy (KfW)

#### What is funding available for?

Measures for saving energy and reducing  $\mathrm{CO}_2$  emissions in existing residential buildings for which the building application was submitted or start of construction notified before 01.02.2002 including costs for consultancy, planning and construction support services. The subsidy is conditional upon commissioning an energy advisor before work begins.

#### Who can claim the funding?

Owner-occupied single family and two family houses, first buyers of newly refurbished single-family and two-family houses as well as freehold apartments and owner-occupied or rented freehold apartments in home owner associations.

#### How is the funding provided?

Scaled subsidies for conversion measures to KfW Efficiency Houses 55, 70, 85, 100, 115 and KfW Efficiency House standard for listed buildings as well as for individual measures.

Level of subsidy up to 30% of investment costs, max. EUR 30,000 per residential unit, for a KfW Efficiency House and up to 10% or max. EUR 5,000 per residential unit for individual measures.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 12.13 Energy-efficient refurbishment - construction support (KfW)

#### What is funding available for?

Specialist energy planning and construction support by an external expert energy advisor who carries out the planning for the energy measures as part of the refurbishment project, assists their implementation and supports the developer through their additional technical expertise. The condition is that the project must be funded in the KfW programmes, "Energy-efficient Refurbishment - Loans" or "Energy-efficient Refurbishment - Investment Subsidy".

#### Who can claim the funding?

All bodies responsible for investment measures on owner-occupied or rented residential buildings and freehold apartments.

Bodies responsible for investment measures include private individuals, housing companies, housing cooperatives, property developers, owners/operators of residential homes, public sector entities and agencies as well as contracting providers (investors).

#### How is the funding provided?

50% of the eligible costs for the expert (up to EUR 4,000 per project).

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application after completion of the qualified construction support, submitted directly to KfW who will also provide information on further provisions specific to the programme.

#### For additional information:

Information Centre of the KfW Banking Group

#### 12.14 KfW home ownership programme

#### What is funding available for?

Construction or acquisition of own home or freehold apartments for own use in Germany as well as the acquisition of cooperative shares.

#### Who can claim the funding?

Natural persons who acquire residential property for their own use or subscribe to cooperative shares in order to become a member of a housing cooperative.

#### How is the funding provided?

Loan at favourable rates with a term of up to 35 years and up to EIR 50,000.

There is no legal entitlement to the funding.

#### **Application / contact:**

Formal application before the start of the project submitted to a funding partner of your choice who will also provide information on further provisions specific to the programme.

#### For additional information:

#### Information Centre of the KfW Banking Group

Tel.: 0800 539 9002

www.KfW.de/124 (for residential property) www.KfW.de/134 (for cooperative shares)

#### Annex: Contact

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#### Explanations of the footnotes

#### <sup>1</sup> Extract from the EU definition of SME:

Micro enterprises are companies that employ fewer than ten persons and whose annual sales or balance sheet total do not exceed EUR 2 m.

Small enterprises are companies that employ fewer than 50 persons and have annual sales or balance sheet total of no more than EUR 10 m.

Medium-sized enterprises are companies with fewer than 250 employees with either annual sales of no more than EUR 50 m or an annual balance sheet total of no more than EUR 43 m.

#### Full SME definition:

See Annex 1 to EU Regulation No. 651/2014 from the Commission dated 17 June 2014.

#### **Legal notice**

#### **Publisher:**

Ministry of Economics, Construction and Tourism Mecklenburg-Vorpommern Johannes-Stelling-Straße 14 19053 Schwerin

#### **Editorial:**

Ministry of Economics, Construction and Tourism Mecklenburg-Vorpommern Department 2 Economic and structural policies, Industry, Tourism Unit 220 Business establishment and expansion, subject-specific supervision Invest in MV GmbH

#### Copies to be obtained from:

Ministry of Economics, Construction and Tourism Mecklenburg-Vorpommern Press and Public Relations

Tel.: +49 385 588 -50 65 Fax: +49 385 588 -50 67

E-mail: presse@wm.mv-regierung.de Internet: www.wm.regierung-mv.de

#### Overall production:

Layout, typesetting: Courage//Co Schwerin Marketing KG

Internet: www.courage-co.de

Printing: Druckhaus Panzig Greifswald

Internet: www. druckhaus-panzig.de

Photos: AIDA Cruises

Rainer Cordes data experts gmbh Norbert Fellechner Klaus Frahm Alexander Koker Jörn Lehmann

Mecklenburger Metallguß GmbH

Uni Rostock Institut für Biomedizinische Technik

Armin Wenzel

#### 21st Edition

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